Dragonbox: A New Floating-Point Binary-to-Decimal Conversion Algorithm

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Abstract

We present a new algorithm for efficiently converting a binary floating-point number into the shortest and correctly rounded decimal representation. The algorithm is based on *Schubfach* algorithm [1] introduced in around 2017-2018, and is also inspired from Grisu [2] and Grisu-Exact [4]. In addition to the core idea of Schubfach, Dragonbox utilizes some Grisu-like ideas to minimize the number of expensive 128-bit $\times$ 64-bit multiplications, at the cost of having more branches and divisions-by-constants. According to our benchmarks, Dragonbox performs better than Ryū, Grisu-Exact, and Schubfach for both IEEE-754 binary32 and binary64 formats.

0. Disclaimer

This paper is not a completely formal writing, and is not intended for publications into peer-reviewed conferences or journals (because I'm not a fan of sacrificing clarity to fit in an artifical page limit). Hence, the paper might contain some alleged claims and/or lack of references.

1. Introduction

Due to recent popularity of JavaScript and JSON, interest on fast and correct algorithm for converting between binary and decimal representations of floating-point numbers has been continuously increasing. As a consequence, many new algorithms have been proposed recently, in spite of the long history of the subject.

We will assume all floating-point numbers are in either IEEE-754 binary32 or binary64 formats, as these are the most common formats used today.[1][2] We will also focus on the binary-to-decimal conversion in this paper and will not discuss how to do decimal-to-binary conversion. Contrary to one might think, in fact decimal-to-binary conversion and binary-to-decimal conversion are largely asymmetric, because of the asymmetric nature of input and output. In general, for the input side, one needs to deal with wide variety of possible input data, but the form of output is usually definitive. On the other hand, for the output side, the input data has a strict format but one needs to choose between various possibilities of outputs. Floating-point I/O is not an exception. When it comes to decimal-to-binary conversion, which corresponds to the input side, the input data can be usually arbitrarily long so we have to somehow deal with that, but any input data can, if not malformed, usually represent a unique floating-point number. On the other hand, in binary-to-decimal conversion, which corresponds to the output side, the input is a single binary floating-point number but the output can be all decimal numbers which any correct parser will read as the original binary floating-point number. To resolve this ambiguity, Steele and White proposed the following criteria in [6]:[3]

1. **Information preservation**: a correct decimal-to-binary converter must return the original binary floating-point number,

2. **Minimum-length output**: the output decimal significand should be as short as possible, and

3. **Correct rounding**: among all possible shortest outputs, the one that is closest to the true value of the given floating-point number should be chosen.

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[1] Details of these formats will be reviewed in Section 2

[2] It should be not so difficult to generalize Dragonbox to similar formats, such as IEEE-754 binary16 or binary128.

[3] To be precise, the criteria given by Steele and White were in terms of the character string generated from the decimal representation. However, we can write those criteria in terms of the decimal representation itself as well.

Notable examples of recently proposed binary-to-decimal conversion algorithms include but not limited to Grisu [2], Errol [3], Ryū [5], and Grisu-Exact [4]. Among these, Errol, Ryū, and Grisu-Exact satisfy all of the above criteria. Grisu does not satisfy all of the criteria, but Grisu3, which can detect its failure to satisfy the criteria, with the fallback into Dragon4 [6], proposed by Steele and White and satisfies all the criteria, is still popular.

Schubfach [1] is another example of those algorithms, developed in around 2017-2018, but it seems that, compared to Ryū, it did not get much attention from the public probably because at that time there was no document explaining details of the algorithm. Nevertheless, the underlying idea of Schubfach is theoretically very appealing and its implementation [7] also seems to outperform that of the other algorithms.

Although Schubfach is already a very tight algorithm, there can be ways to improve its performance further. One possible way might be to eliminate the necessity to perform three 128-bit $\times$ 64-bit multiplications all the time. The core idea of Dragonbox is to achieve this by applying some Grisu-like ideas to Schubfach.

2. IEEE-754 Specifications[4]

Before diving into the details of Dragonbox, let us review IEEE-754 and fix some related notations. For a real number w, by *(binary) floating-point representation* we mean the representation

$$w = (-1)^{\sigma_w} \cdot F_w \cdot 2^{E_w}$$

where $\sigma_w = 0, 1$, $0 \leq F_w < 2$, and E_w is an integer. We say the above representation is *normal* if $1 \leq F_w < 2$. Of course, there is no normal floating-point representation of 0, while any other real number has a unique normal floating-point representation. If the representation is not normal, we say it is *subnormal*.

IEEE-754 specifications consist of the following rules that define a mapping from the set of fixed-length bit patterns $b_{q-1} b_{n-2} \cdots b_0$ for some q into the real line augmented with some special values:

1. The most-significant bit b_{q-1} is the sign σ_w.

2. The least-significant p-bits $b_{p-1} \cdots b_0$ are for storing the significand F_w, while the remaining $(q - p - 1)$-bits are for storing the exponent E_w. We call p the *precision* of the representation.[5]

3. If $q - p - 1$ exponent bits are not all-zero nor all-one, the representation is normal. In this case, we compute F_w as

$$F_w = 1 + 2^{-p} \cdot \sum_{k=0}^{p-1} b_k \cdot 2^k$$

[4] This section is mostly copied from [4].

[5] Usually, it is actually $p+1$ that is called the precision of the format in other literatures. However, we call p the precision in this paper for simplicity.

and E_w as

$$E_w = -(2^{q-p-2} - 1) + \sum_{k=0}^{q-p-2} b_{p+k} \cdot 2^k.$$

The constant term $2^{q-p-2} - 1$ is called the *bias*, and we denote this value as $E_{\max} := 2^{q-p-2} - 1$.

4. If $q - p - 1$ exponent bits are all-zero, the representation is subnormal. In this case, we compute F_w as

$$F_w = 2^{-p} \cdot \sum_{k=0}^{p-1} b_k \cdot 2^k$$

and let $E_w = -(2^{q-p-2} - 2)$. Let us denote this value of E_w as $E_{\min} := -(2^{q-p-2} - 2)$.

5. If $q - p - 1$ exponent bits are all-one, the pattern represents either $\pm\infty$ when all of p significand bits are zero, or NaN's (Not-a-Number) otherwise.

When $(q, p) = (32, 23)$, the resulting encoding format is called *binary32*, and when $(q, p) = (64, 52)$, the resulting encoding format is called *binary64*.

For simplicity, let us only consider bit patterns corresponding to positive real numbers from now on. Zeros, infinities, and NaN's should be treated specially, and for negative numbers, we can simply ignore the sign until the final output string is generated. Hence, for example, we do not think of all-zero nor all-one patterns, and especially exponent bits are never all-one. Also, we always assume that the sign bit is 0. With these assumptions, the mapping defined above is one-to-one: each bit pattern corresponds to a unique real number, and no different bit patterns correspond to a same real number.

From now on, by saying $w = F_w \cdot 2^{E_w}$ a *floating-point number* we implicitly assumes that

(1) w is a positive number representable within an IEEE-754 binary format with some q and p, and

(2) F_w and E_w are those obtained from the rules above.

In particular, the representation should be normal ($1 \leq F_w < 2$) if $E_w \neq E_{\min}$, and it can be subnormal ($0 \leq F_w < 1$) only when $E_w = E_{\min}$. If the representation is normal, we call w a *normal number*, and otherwise, we call w a *subnormal number*.

For a floating-point number $w = F_w \cdot 2^{E_w}$, we define w^- as the greatest floating-point number smaller than w. When w is the minimum possible positive floating-number representable within the specified encoding format, that is, $w = 2^{-p} \cdot 2^{E_{\min}}$, then we define $w^- = 0$. Similarly, we define w^+ as the smallest floating-point number greater than w. Again, if w is the largest possible finite number representable within the format, that is, $w = (2 - 2^{-p})2^{E_{\max}}$, then we define $w^+ := 2^{E_{\max}+1}$.

In general, it can be shown that

$$w^- = \begin{cases} (F_w - 2^{-p-1})2^{E_w} & \text{if } F_w = 1 \text{ and } E_w \neq E_{\min} \\ (F_w - 2^{-p})2^{E_w} & \text{otherwise} \end{cases}$$

and

$$w^+ = (F_w + 2^{-p})2^{E_w}.$$

We will also use the notations

$$m_w^- := \frac{w^- + w}{2} = \begin{cases} (F_w - 2^{-p-2})2^{E_w} & \text{if } F_w = 1 \text{ and} \\ & E_w \neq E_{\min} \\ (F_w - 2^{-p-1})2^{E_w} & \text{otherwise} \end{cases},$$

$$m_w^+ := \frac{w + w^+}{2} = (F_w + 2^{-p-1})2^{E_w}$$

to denote the midpoints of the intervals $[w^-, w]$, $[w, w^+]$, respectively.

2.1 Rounding Modes

Floating-point calculations are inherently imprecise as the available precision is limited. Hence, it is necessary to round calculational results to make them fit into the precision limit. Specifying how any rounding should be performed means to define for each real number a corresponding floating-point number in a consistent way. IEEE-754 currently defines five rounding modes. We can describe those rounding modes by specifying the inverse image in the real line of each floating-point number w:

1. *Round to nearest, ties to even*: If the LSB (Least Significant Bit) of the significand bits of w is 0, then the inverse image is the closed interval $[m_w^-, m_w^+]$. Otherwise, it is the open interval (m_w^-, m_w^+). This is the default rounding mode in most of the platforms. In fact, IEEE-754 mandates it to be the default mode for binary encodings.

2. *Round to nearest, ties away from zero*: The inverse image of w is the half-open interval $[m_w^-, m_w^+)$. This mode is introduced in the 2008 revision of the IEEE-754 standard. Some platforms and languages, such as the recent standards of the C and C++ languages, do not have the corresponding way of representing this rounding mode.

3. *Round toward* 0: The inverse image of w is the half-open interval $[w, w^+)$.

4. *Round toward* $+\infty$: The inverse image of w is the half-open intervals $(w^-, w]$ if w is positive, and $[w, w^+)$ if w is negative.[6]

5. *Round toward* $-\infty$: The inverse image of w is the half-open intervals $[w, w^+)$ if w is positive, and $(w^-, w]$ is w is negative.

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[6] We supposed to deal only with positive numbers, so w here is actually a positive number. The phrases "if w is positive" or "if w is negative" simply mean that the original input is positive or negative, respectively.

Though not included in the IEEE-754 standard, we can think of the following additional rounding modes with their obvious meanings:

- *Round to nearest, ties to odd*
- *Round to nearest, ties toward zero*
- *Round to nearest, ties toward* $+\infty$
- *Round to nearest, ties toward* $-\infty$
- *Round away from* 0

Note that if I is the interval given as the inverse image of w according to a given rounding mode, then a correct decimal-to-binary converter must output w from any numbers in I. Therefore, in order to produce a shortest possible decimal representation of w, we need to search for a number inside I that has the least number of decimal significand digits.

2.2 Notations

From now on, we will assume that a floating-point number w and a specific rounding mode is given so the interval I is defined accordingly. Note that for all cases I is an interval contained in the positive real axis and it avoids 0. We will denote the left and the right endpoints of I as w_L and w_R, respectively. For example, when one of the round-to-nearest rounding mode is specified, $w_L = m_w^-$ and $w_R = m_w^+$. We will also denote the length of I as $\Delta := w_R - w_L$. Note that there are only three possible values of Δ:

1. $\Delta = 2^{E_w-p-1}$, if $w_L = w^-$, $w_R = w$, $F_w = 1$, and $E_w \neq E_{\min}$,

2. $\Delta = 3 \cdot 2^{E_w-p-2}$ if $w_L = m_w^-$, $w_R = m_w^+$, $F_w = 1$, and $E_w \neq E_{\min}$, and

3. $\Delta = 2^{E_w-p}$ for all other cases.

We also denote

$$e := E_w - p, \quad f_c := F_w 2^p$$

so that f_c is an integer and

$$w = f_c \cdot 2^e,$$
$$w^- = \begin{cases} \left(f_c - \frac{1}{2}\right) \cdot 2^e & \text{if } F_w = 1 \text{ and } E_w \neq E_{\min} \\ (f_c - 1) \cdot 2^e & \text{otherwise} \end{cases},$$
$$w^+ = (f_c + 1) \cdot 2^e,$$
$$m_w^- = \begin{cases} \left(f_c - \frac{1}{4}\right) \cdot 2^e & \text{if } F_w = 1 \text{ and } E_w \neq E_{\min} \\ \left(f_c - \frac{1}{2}\right) \cdot 2^e & \text{otherwise} \end{cases},$$
$$m_w^+ = \left(f_c + \frac{1}{2}\right) \cdot 2^e.$$

With this notation, Δ is one of 2^{e-1}, $3 \cdot 2^{e-2}$, or 2^e.

3. Review of Schubfach

In this section, we will briefly review how Schubfach works. Most of the results are from [1], but we changed the nota-

tions and formulations, and also rewrote the proofs to help understanding the rest of our paper.
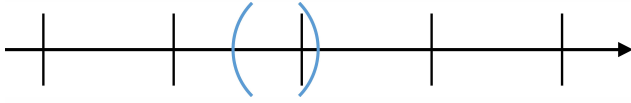
The beauty of Schubfach is that, not like Ryū or Grisu-Exact, it does not perform an iterative search to find the shortest decimal representation. Rather, Schubfach finds it with just one trial using the following simple fact:[7]
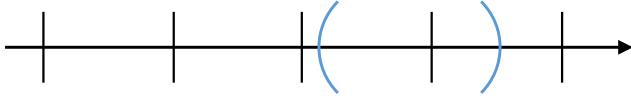
Proposition 3.1.
Let $k_0 := -\lfloor \log_{10} \Delta \rfloor$. *Then*
1. $\left| I \cap 10^{-k_0+1}\mathbb{Z} \right| \leq 1$ *and*
2. $\left| I \cap 10^{-k_0}\mathbb{Z} \right| \geq 1$.[8]

Here, $|\cdot|$ *denotes the cardinality of the set and for any* $a \in \mathbb{R}$ *and* $A \subseteq \mathbb{R}$, aA *denotes the set* $\{av : v \in A\}$.

Figure 1. If I is shorter than the unit, then it contains at most one lattice point

Figure 2. If I is longer than the unit, then it contains at least one lattice point

Proof. By definition of k_0, we have

$$-k_0 \leq \log_{10} \Delta < -k_0 + 1,$$

or equivalently,

$$10^{-k_0} \leq \Delta < 10^{-k_0+1}. \tag{1}$$

If $\left| I \cap 10^{-k_0+1}\mathbb{Z} \right| > 1$, then it means there are at least two distinct points in I whose distance from each other is 10^{-k_0+1}. Hence, the length of I should be at least 10^{-k_0+1}, or equivalently,

$$\Delta \geq 10^{-k_0+1},$$

which is a contradiction. This shows the first claim.

On the other hand, pick any point $v \in I$, then we know

$$\lfloor 10^{k_0} v \rfloor \leq 10^{k_0} v < \lfloor 10^{k_0} v \rfloor + 1.$$

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[7] One might regard this proposition as a form of the pigeonhole principle. In fact, the name *Schubfach* is coming from the German name of the pigeonhole principle, *Schubfachprinzip*, meaning "drawer principle".

[8] In fact, we show in the proof that for any $v \in I$, at least one of $\lfloor 10^{k_0} v \rfloor$ and $\lfloor 10^{k_0} v \rfloor + 1$ should be in $10^{k_0} I$.

We claim that at least one of $\lfloor 10^{k_0} v \rfloor$ and $\lfloor 10^{k_0} v \rfloor + 1$ is in $10^{k_0} I$. Suppose not, then the left endpoint of $10^{k_0} I$ should lie inside $\left[\lfloor 10^{k_0} v \rfloor, 10^{k_0} v \right]$ and the right endpoint of $10^{k_0} I$ should lie inside $\left[10^{k_0} v, \lfloor 10^{k_0} v \rfloor + 1 \right]$. This implies that the length of $10^{k_0} I$ is at most 1, but since $10^{-k_0} \leq \Delta$, it follows that $\Delta = 10^{-k_0}$ and $10^{k_0} I = \left(\lfloor 10^{k_0} v \rfloor, \lfloor 10^{k_0} v \rfloor + 1 \right)$.

Note that $\Delta = 10^{-k_0}$ is only possible for very rare cases; indeed, since 5 does not appear as a prime factor of Δ (as a rational number), the equality $\Delta = 10^{-k_0}$ can hold only when $k_0 = 0$. Hence, we have $\Delta = 1$, which can hold only when $e = 1$ or $e = 0$ because Δ is one of 2^{e-1}, $3 \cdot 2^{e-2}$, or 2^e, depending on how I is given.[9] However, this implies that $w = f_c \cdot 2^e$ is an integer, but since $w \in I$, we get that $I \cap \mathbb{Z} \neq \emptyset$. This is absurd, because I is an open interval between two consecutive integers. □

It should be noted that the shortest decimal numbers in I are the elements of the intersection $I \cap 10^{-k}\mathbb{Z}$ where k is the smallest integer making the intersection nonempty. Although this sounds somewhat obvious, let us formally prove it. First, we define the number of decimal significand digits of a positive real number v as $\lfloor \log_{10}(10^k v) \rfloor + 1$ where k is the smallest integer such that $10^k v \in \mathbb{Z}$. For example,

- If $v = 1.23$, then $k = 2$ and $\lfloor \log_{10}(10^k v) \rfloor + 1 = 3$,
- If $v = 0.01234$, then $k = 5$ and $\lfloor \log_{10}(10^k v) \rfloor + 1 = 5$, and
- If $v = 1200$, then $k = -2$ and $\lfloor \log_{10}(10^k v) \rfloor + 1 = 2$.

Proposition 3.2.
The set $I \cap 10^{-k}\mathbb{Z}$, *where* k *is the smallest integer making the intersection nonempty, is precisely the set of elements in* I *with the smallest number of decimal significand digits..*

Proof. By the assumption on k, we know that $I \cap 10^{-k}\mathbb{Z}$ is not empty while $I \cap 10^{-k+1}\mathbb{Z}$ is empty. Equivalently, $10^k I \cap \mathbb{Z}$ is not empty while $10^{k-1} I \cap \mathbb{Z}$ is empty. Since I is an interval, $10^k I \cap \mathbb{Z} = \{m, m+1, \cdots, M-1, M\}$ for some integers $m, M \in \mathbb{Z}$. Since $10^{k-1} I \cap \mathbb{Z}$ is empty, there is no multiple of 10 among $m, \cdots, M$. Hence, we get $\lfloor \log_{10} m \rfloor = \lfloor \log_{10} M \rfloor$; otherwise, we have

$$\log_{10} m < \lfloor \log_{10} m \rfloor + 1 \\ \leq \lfloor \log_{10} M \rfloor \leq \log_{10} M,$$

thus

$$m < 10^{\lfloor \log_{10} m \rfloor + 1} \leq M,$$

which contradicts to that there is no multiple of 10 among $m, \cdots, M$. Note that for any v in the set

$$I \cap 10^{-k}\mathbb{Z} = \left\{ 10^{-k} m, \cdots, 10^{-k} M \right\},$$

k is the smallest integer such that $10^k v$ is an integer, thus all such v have $\lfloor \log_{10} m \rfloor + 1$ decimal significand digits.

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[9] In fact, since I is an open interval, the first case is impossible, so we have $e = 0$.

Now, let us show that $\lfloor\log_{10} m\rfloor + 1$ is the minimum possible number of decimal significand digits. We first claim that

$$\lfloor\log_{10}(m-1)\rfloor = \lfloor\log_{10} m\rfloor$$

if $m \neq 1$. Indeed, if not, then we have

$$\log_{10}(m-1) < \lfloor\log_{10}(m-1)\rfloor + 1$$
$$\leq \lfloor\log_{10} m\rfloor \leq \log_{10} m,$$

thus

$$m - 1 < 10^{\lfloor\log_{10}(m-1)\rfloor+1} \leq m.$$

Since $10^{\lfloor\log_{10}(m-1)\rfloor+1}$ is an integer, we must have $m = 10^{\lfloor\log_{10}(m-1)\rfloor+1}$, which contradicts to that m is not a multiple of 10. This shows the claim.

Next, note that for any $v \in I$ such that there exists $l \in \mathbb{Z}$ with $10^l v \in \mathbb{Z}$, we have $l \geq k$ because of how we chose k. If $l = k$, then $10^l v$ is one of $m, \cdots, M$, so we may assume $l > k$. Note also that we may assume $m \neq 1$, because if $m = 1$ then the number of decimal significand digits of elements in $I \cap 10^{-k}\mathbb{Z}$ is 1, which is of course the smallest possible number of decimal significand digits. Now, since we have

$$\lfloor\log_{10}(10^l v)\rfloor = \lfloor\log_{10}(10^k v)\rfloor + (l - k)$$
$$\geq \lfloor\log_{10}(10^k v)\rfloor + 1,$$

it suffices to show that $\lfloor\log_{10}(10^k v)\rfloor \geq \lfloor\log_{10} m\rfloor$. This inequality actually follows directly from our previous claim $\lfloor\log_{10}(m-1)\rfloor = \lfloor\log_{10} m\rfloor$; indeed, as $10^{-k}(m-1)$ is not an element of I, we should have $v > 10^{-k}(m-1)$, or equivalently, $10^k v > m - 1$, which implies

$$\lfloor\log_{10}(10^k v)\rfloor \geq \lfloor\log_{10}(m-1)\rfloor = \lfloor\log_{10} m\rfloor.$$

$$\square$$

Since we have the following *chain property*

$$I \cap 10^{-k+1}\mathbb{Z} \subseteq I \cap 10^{-k}\mathbb{Z}$$

for all $k \in \mathbb{Z}$, we get the following:

Corollary 3.3.
Let $k_0 := -\lfloor\log_{10}\Delta\rfloor$. Then:

1. *If $I \cap 10^{-k_0+1}\mathbb{Z}$ is not empty, then the unique element in it has the smallest number of decimal significand digits in I.*
2. *Otherwise, elements in $I \cap 10^{-k_0}\mathbb{Z}$ have the smallest number of decimal significand digits.*

Proof. Suppose first that $I \cap 10^{-k_0+1}\mathbb{Z}$ is not empty. Let $l \in \mathbb{Z}$ be the smallest integer such that $I \cap 10^{-l}\mathbb{Z}$ is not empty, then by the chain property, we know

$$\emptyset \neq I \cap 10^{-l}\mathbb{Z} \subseteq I \cap 10^{-k_0+1}\mathbb{Z},$$

but since $I \cap 10^{-k_0+1}\mathbb{Z}$ can have at most 1 element by Proposition 3.1, it follows that the unique element of $I \cap 10^{-k_0+1}\mathbb{Z}$ is the unique element of $I \cap 10^{-l}\mathbb{Z}$. Hence, that unique element has the smallest number of decimal significand digits in I by Proposition 3.2.

Next, suppose that $I \cap 10^{-k_0+1}\mathbb{Z} = \emptyset$. Then again by the chain property, k_0 must be the smallest integer such that $I \cap 10^{-k_0}\mathbb{Z}$ is not empty, so the result follows from Proposition 3.2. $\square$

Note that, since we always have $w \in I$, so given that $I \cap 10^{-k}\mathbb{Z}$ is nonempty for some $k \in \mathbb{Z}$, then at least one of $\lfloor 10^k w\rfloor 10^{-k}$ and $(\lfloor 10^k w\rfloor + 1) 10^{-k}$ must be in $I \cap 10^{-k}\mathbb{Z}$. More precisely, pick any $v \in I \cap 10^{-k}\mathbb{Z}$, then if $v \leq w$, then $\lfloor 10^k w\rfloor 10^{-k}$ is in $I \cap 10^{-k}\mathbb{Z}$ since $\lfloor 10^k w\rfloor$ is the largest integer smaller than or equal to $10^k w$, so it should lie in between $10^k v$ and $10^k w$. Similarly, if $v > w$, then $(\lfloor 10^k w\rfloor + 1) 10^{-k}$ is in $I \cap 10^{-k}\mathbb{Z}$ since $\lfloor 10^k w\rfloor + 1$ is the smallest integer strictly greater than $10^k w$, so it should lie in between $10^k w$ and $10^k v$. This leads us to the following strategy of finding the shortest decimal representation of w assuming round-to-nearest, which is the basic skeleton of Schubfach:

Algorithm 3.4 (Skeleton of Schubfach)**.**

1. Compute $k_0 := -\lfloor\log_{10}\Delta\rfloor$.
2. Compute $\lfloor 10^{k_0-1}w\rfloor$ and $\lfloor 10^{k_0-1}w\rfloor + 1$. If one of them (and only one of them) belongs to $10^{k_0-1}I$, then call that number s. In this case, $10^{-k_0+1}s$ is the unique number in I with the smallest number of decimal significand digits. However, s might contain trailing decimal zeros; that is, it might be a multiple of a power of 10 as $I \cap 10^{-l}\mathbb{Z}$ might be nonempty for some $l < k_0 - 1$. Thus, let d be the greatest integer such that 10^d divides s, then $\frac{s}{10^d} \times 10^{d-k_0+1}$ is the unique shortest decimal representation of w.
3. Otherwise, we compute $\lfloor 10^{k_0}w\rfloor$ and $\lfloor 10^{k_0}w\rfloor + 1$. Then at least one of them must be in $10^{k_0}I$, and if only one of them is inside I, call that number s. In this case, $10^{-k_0}s$ is the number closest to w in I with the smallest number of decimal significand digits. Since we assumed that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty, s is never divisible by 10 so there is no trailing decimal zeros and $s \times 10^{-k_0}$ is the correctly rounded shortest decimal representation of w.
4. If both $\lfloor 10^{k_0}w\rfloor$ and $\lfloor 10^{k_0}w\rfloor + 1$ are inside $10^{k_0}I$, choose the one that is closer to $10^{k_0}w$. When the distances from $10^{k_0}w$ to those numbers are the same, break the tie according to a given rule.[10] Call the chosen number s, then again s cannot have any trailing decimal zeros and $s \times 10^{-k_0}$ is the correctly rounded shortest decimal representation of w.

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[10] The most common rule is to choose the even one, but we can consider other rules as well.

Based on the above strategy, the details of Schubfach include the following:

- How to efficiently compute $\lfloor \log_{10} \Delta \rfloor$?
- How to efficiently compute $\lfloor 10^{k_0-1}w \rfloor$, $\lfloor 10^{k_0-1}w \rfloor + 1$, $\lfloor 10^{k_0}w \rfloor$ and $\lfloor 10^{k_0}w \rfloor + 1$?
- How to efficiently compare these numbers to the end-points of $10^{k_0-1}I$ or $10^{k_0}I$?

Similar to Ryū and Grisu-Exact, Schubfach uses a table of precomputed binary digits of powers of 10 in order to accomplish the second item. In addition to that, it uses an ingenious rounding trick which makes the third item trivial.[11] More precisely, after computing k_0, Schubfach computes approximations of $10^{k_0}w_L$ and $10^{k_0}w_R$ along with that of $10^{k_0}w$, with the aforementioned rounding rule applied, and the construction of the rounding rule ensures that we can just compare with the computed approximations of $10^{k_0}w_L$ and $10^{k_0}w_R$ in order to deduce if a given number is in the interval or not.

However, even with the precomputed cache, computing the approximate multiplications $w_L \times 10^{k_0}$, $w_R \times 10^{k_0}$, and $w \times 10^{k_0}$, is not cheap, because it requires several 64-bit multiplications, which, for typical modern x86 machines, are a lot slower than many other instructions. (We will review how these approximate multiplications can be done in Section 4.2.) The core idea of Dragonbox is, thus, on how we can avoid some of these multiplications.

4. Dragonbox

For this section, we will assume a round-to-nearest rounding rule, because that is the the most relevant and at the same time the most difficult case. Algorithms for other rounding rules can be developed in similar ways, and they will be covered in Appendix A and Appendix B.

4.1 Overview

We will describe a brief overview of Dragonbox for the case when $F_w \neq 1$ or $E_w = E_{\min}$ (we call this *normal interval case*), so that $\Delta = 2^e$. The case $F_w = 1$ and $E_w \neq E_{\min}$ (we call this *shorter interval case*) will be covered in Section 5.

Not like Schubfach, consider the following exponent instead of $k_0 := -\lfloor \log_{10} \Delta \rfloor$:

$$k := k_0 + \kappa = -\lfloor \log_{10} \Delta \rfloor + \kappa,$$

where κ is a positive integer constant in a certain range that we will discuss in Section 4.5. We will also discuss on how to compute k efficiently in that section.

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[11] To be honest, I did not look at this rounding trick carefully, and do not fully understand how it works. Dragonbox does not rely on this trick, so it should be irrelevant for the rest of the paper. However, it might be that we can still possibly apply the trick also to Dragonbox so that we can make it even faster.

Similarly to [4], let us use the following notations:

$$x := 10^k w_L,$$
$$y := 10^k w,$$
$$z := 10^k w_R,$$
$$\delta := z - x = 10^k \Delta,$$

and for $a \in \mathbb{R}$, we denote $a^{(i)} := \lfloor a \rfloor$, $a^{(f)} := a - \lfloor a \rfloor$. Note that $\Delta < 10^{-k_0+1}$ implies $\delta < 10^{\kappa+1}$.

Using a Grisu-like idea based on the following simple fact, we can mostly avoid computing x and y when doing the second step of Algorithm 3.4:

Proposition 4.1.
Let s, r be the unique integers satisfying

$$z^{(i)} = 10^{\kappa+1}s + r, \quad 0 \leq r < 10^{\kappa+1}.$$

Then, $I \cap 10^{-k_0+1}\mathbb{Z}$ is nonempty if and only if

$$s \in 10^{k_0-1}I,$$

if and only if:

1. *$r + z^{(f)} \leq \delta$, when $I = [w_L, w_R]$,*
2. *$r + z^{(f)} < \delta$, when $I = (w_L, w_R]$.*
3. *$r + z^{(f)} \leq \delta$ and $r \neq 0$ or $z^{(f)} \neq 0$, when $I = [w_L, w_R)$, and*
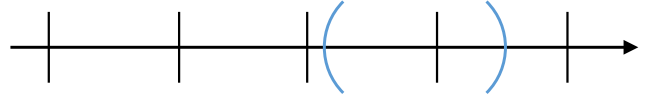4. *$r + z^{(f)} < \delta$ and $r \neq 0$ or $z^{(f)} \neq 0$, when $I = (w_L, w_R)$.*

Proof. We first show that $I \cap 10^{-k_0+1}\mathbb{Z}$ is nonempty if and only if $s \in 10^{k_0-1}I$. Clearly, $10^{-k_0+1}s$ is always an element of $10^{-k_0+1}\mathbb{Z}$, so if it belongs to I, then $I \cap 10^{-k_0+1}\mathbb{Z}$ is nonempty.

Conversely, suppose $I \cap 10^{-k_0+1}\mathbb{Z}$ is nonempty. Let v be any element of it. Then, $v \leq w_R$, so

$$10^{k-\kappa-1}v \leq \frac{z}{10^{\kappa+1}},$$

but since $10^{k-\kappa-1}v = 10^{k_0-1}v \in \mathbb{Z}$, it follows that

$$10^{k-\kappa-1}v \leq \left\lfloor \frac{z}{10^{\kappa+1}} \right\rfloor = s.$$

Figure 3. The unique lattice point in I should be the floor of the right endpoint, since I is longer than the unit

Now, since $10^{k_0-1}v$ and s are both integers, if we suppose

$$10^{k_0-1}v \neq s,$$

then
$$10^{k_0-1}v + 1 \leq s$$

follows, which implies
$$10^{-k_0+1}s \geq v + 10^{-k_0+1} > v + \Delta \geq w_L + \Delta = w_R$$

by definition of k_0. This is absurd, because
$$10^{-k_0+1}s = 10^{-k} \cdot 10^{\kappa+1}s \leq 10^{-k} \cdot z = w_R.$$

Hence, we deduce $s = 10^{k_0-1}v \in 10^{k_0-1}I$, concluding the first "if and only if".

To show the second "if and only if", let us recall that $10^{-k_0+1}s = 10^{-k} \cdot 10^{\kappa+1}s$ is at most w_R. Hence, when $w_R \in I$, $10^{-k_0+1}s$ is in I if and only if its distance from w_L is less than or equal to Δ, or strictly less than Δ, depending on whether or not if w_L is in I, which are precisely the claims *1* and *2*.

On the other hand, if $w_R \notin I$, then we need to rule out the case $w_R = 10^{-k_0+1}s$ in addition, which is precisely the case when $r = 0$ and $z^{(f)} = 0$, thus we have the last two claims as well. $\qquad\square$

Note that $r + z^{(f)} \leq \delta$ if and only if

1. $r < \delta^{(i)}$, or
2. $r = \delta^{(i)}$ and $z^{(f)} \leq \delta^{(f)}$,

and we have a similar equivalence for $r + z^{(f)} < \delta$. As in [4], we can efficiently perform these comparisons. In particular, since
$$x^{(i)} + x^{(f)} = (z^{(i)} - \delta^{(i)}) + (z^{(f)} - \delta^{(f)}),$$

and $-1 < z^{(f)} - \delta^{(f)} < 1$, we conclude
$$x^{(i)} = \begin{cases} z^{(i)} - \delta^{(i)} & \text{if } z^{(f)} \geq \delta^{(f)}, \\ z^{(i)} - \delta^{(i)} - 1 & \text{if } z^{(f)} < \delta^{(f)}, \end{cases}$$

so we just need to compare the parity of $x^{(i)}$ and $z^{(i)} - \delta^{(i)}$ to conclude if the inequality $z^{(f)} \geq \delta^{(f)}$ holds or not. Details of how to compute the parity of $x^{(i)}$ is explained in Section 4.3.

Note that we need to compare the fractional parts only when we know $r = \delta^{(i)}$; in this case, note that
$$z^{(i)} - \delta^{(i)} = 10^{\kappa+1}s$$

is always an even number. Thus, we have $z^{(f)} < \delta^{(f)}$ if and only if $x^{(i)}$ is an odd number. When $x^{(i)}$ is an even number, then we have either $z^{(f)} = \delta^{(f)}$ or $z^{(f)} > \delta^{(f)}$. Depending on whether or not w_L is contained in I, we may need to distinguish these two cases. To do that, we check if x is an integer, since $z^{(f)} = \delta^{(f)}$ if and only if $x^{(f)} = 0$ if and only if x is an integer. Details of how to check if x is an integer is explained in Section 4.6.

Let us now more precisely describe how to inspect if $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty:

Algorithm 4.2 (Skeleton of Dragonbox, part 1).

1. Compute $k = -\lfloor \log_{10} \Delta \rfloor + \kappa$. Since κ is just a fixed constant, it boils down to calculating $\lfloor \log_{10} \Delta \rfloor$; see Section 4.5 for details.

2. Compute $z^{(i)}$; see Section 4.2 for details.

3. Compute s, r by dividing $z^{(i)}$ by $10^{\kappa+1}$. Given that κ is a known constant, this can be done efficiently without actually issuing the notoriously slow integer division instruction, as described in [8]. Compilers these days usually automatically perform this optimization pretty well, but we can sometimes do better than them because of some additional constraints they are usually not aware of. See Section 4.7 for details.

4. Compute $\delta^{(i)}$; see Section 4.4 for details.

5. Check if the inequality $r > \delta^{(i)}$ holds. If that is the case, then we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.

6. Otherwise, check if the inequality $r < \delta^{(i)}$ holds. If that is the case, we need to check if $r = z^{(f)} = 0$ in addition when $w_R \notin I$. We can inspect the equality $z^{(f)} = 0$ by checking if z is an integer; see Section 4.6 for details.
   - If $w_R \notin I$ and $r = z^{(f)} = 0$, then we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.
   - Otherwise, we conclude that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$.

7. Otherwise, we have $r = \delta^{(i)}$. Then, compute the parity of $x^{(i)}$.
   - If $x^{(i)}$ is an odd number, then we have $z^{(f)} < \delta^{(f)}$, so we conclude that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$.
   - If $x^{(i)}$ is an even number and $w_L \notin I$, then we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.
   - If $x^{(i)}$ is an even number and $w_L \in I$, then check if x is an integer. If that is the case, then we conclude that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$. Otherwise, we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.

8. When we have concluded that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$, then since s might contain trailing decimal zeros, find the greatest integer d such that 10^d divides s. Then we conclude that
$$\frac{s}{10^d} \times 10^{-k+\kappa+1+d}$$

is the answer we are looking for.

Note that in order to compare $z^{(f)}$ and $\delta^{(f)}$, we need to compute the parity of $x^{(i)}$ which involves multiplications we want to avoid. Hence, we want to minimize the chance of having $r = \delta^{(i)}$, so we want to choose κ as large as possible. However, choosing too big κ will prevent $z^{(i)}$ and $\delta^{(i)}$ to fit inside a machine word, so there are in fact not so many choices for κ we can have. See Section 4.5 for details.

Next, let us discuss what we do if $I \cap 10^{-k_0+1}\mathbb{Z}$ turns out to be empty. Our procedure in this case is a bit different from the Schubfach's way. Recall that Corollary 3.3 tells us that

in this case,

$$I \cap 10^{-k_0}\mathbb{Z} = 10^{-k}\left(10^k I \cap 10^\kappa \mathbb{Z}\right)$$

is not empty and its elements are precisely the elements with the smallest number of significand digits.

We will now compute

$$y^{(ru)} := \left\lfloor \frac{y}{10^\kappa} + \frac{1}{2} \right\rfloor 10^\kappa \quad \text{and}$$

$$y^{(rd)} := \left\lceil \frac{y}{10^\kappa} - \frac{1}{2} \right\rceil 10^\kappa,$$

which are the elements in $10^\kappa \mathbb{Z}$ that are closest to $y \in 10^k I$, using a method similar to that described in [4]. As shown in [4], both of $y^{(ru)}$ and $y^{(rd)}$ should be in $10^k I$ because we have assumed that $F_w \neq 1$ or $E_w = E_{\min}$; we will revisit this and explain in more detail in Section 4.9.

Note that $y^{(ru)} = y^{(rd)} + 1$ if and only if

$$\frac{y}{10^\kappa} - \left\lfloor \frac{y}{10^\kappa} \right\rfloor = \frac{1}{2},$$

and $y^{(ru)} = y^{(rd)}$ otherwise. In other words, $y^{(ru)}$ and $y^{(rd)}$ are same except when there is a tie, so our procedure is to first compute $y^{(ru)}$, detect a tie, and if a tie is detected, then follow the given rule for breaking the tie, and if the conclusion is to prefer $y^{(rd)}$, then decrease the computed value of $y^{(ru)}$ by one. Let $y^{(r)}$ be the output of this procedure, then the correctly rounded decimal representation of w with the shortest number of digits is thus

$$y^{(r)} \times 10^{-k+\kappa}.$$

To compute $y^{(ru)}$, note that

$$y^{(ru)} = \left\lfloor \frac{y + (10^\kappa/2)}{10^\kappa} \right\rfloor$$
$$= \left\lfloor \frac{z + (10^\kappa/2) - (z - y)}{10^\kappa} \right\rfloor$$
$$= 10s + \left\lfloor \frac{r + (10^\kappa/2) - \epsilon^{(i)} + (z^{(f)} - \epsilon^{(f)})}{10^\kappa} \right\rfloor$$

where we define

$$\epsilon := z - y.$$

Since we have assumed $F_w \neq 1$ or $E_w = E_{\min}$, w should lie at the exact center of I. Hence in particular, $\epsilon = \frac{\delta}{2}$, so $\epsilon^{(i)} = \left\lfloor \frac{\delta^{(i)}}{2} \right\rfloor$. Also, since κ is a positive integer, $10^\kappa/2$ is an integer. Recall that we already have assumed that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty; hence, by Proposition 4.1, either $r \geq \delta^{(i)}$ or $r = 0$. Since $\epsilon < \delta$, for the first case we know

$$r + \frac{10^\kappa}{2} - \epsilon^{(i)} > 0.$$

For the case $r = 0$, let us replace r by $10^{\kappa+1}$ and s by $s - 1$ so that we still have the inequality above. To be precise, let us define

$$\tilde{s} := \begin{cases} s & \text{if } r \neq 0 \\ s - 1 & \text{if } r = 0 \end{cases}, \quad \tilde{r} := \begin{cases} r & \text{if } r \neq 0 \\ 10^{\kappa+1} & \text{if } r = 0 \end{cases},$$

so that we have

$$z^{(i)} = 10^{\kappa+1}\tilde{s} + \tilde{r}$$

and

$$y^{(ru)} = 10\tilde{s} + \left\lfloor \frac{\tilde{r} + (10^\kappa/2) - \epsilon^{(i)} + (z^{(f)} - \epsilon^{(f)})}{10^\kappa} \right\rfloor.$$

Define

$$D := \tilde{r} + (10^\kappa/2) - \epsilon^{(i)},$$

then as $\delta < 10^{\kappa+1}$ we clearly have $D \geq 0$ for all cases.

Next, let t, ρ be the unique integers satisfying

$$D = 10^\kappa t + \rho, \quad 0 \leq \rho < 10^\kappa.$$

Then,

$$y^{(ru)} = (10\tilde{s} + t) + \left\lfloor \frac{\rho + (z^{(f)} - \epsilon^{(f)})}{10^\kappa} \right\rfloor.$$

Note that the residue term

$$\left\lfloor \frac{\rho + (z^{(f)} - \epsilon^{(f)})}{10^\kappa} \right\rfloor$$

is always 0 except when $\rho = 0$ and $z^{(f)} < \epsilon^{(f)}$, and for that case it is equal to -1. As the probability of D being divisibly by 10^κ will be low (and it will be even lower if we choose larger κ), we can mostly ignore the residue term and conclude $y^{(ru)} = 10\tilde{s} + t$.

If D is unfortunately divisible by 10^κ, then we need to compare $z^{(f)}$ and $\epsilon^{(f)}$. Similarly to the comparison of $z^{(f)}$ and $\delta^{(f)}$, this can be done by computing the parity of $y^{(i)}$. Indeed, note that

$$y^{(i)} + y^{(f)} = (z^{(i)} - \epsilon^{(i)}) + (z^{(f)} - \epsilon^{(f)}),$$

and since $-1 < z^{(f)} - \epsilon^{(f)} < 1$, we conclude

$$y^{(i)} = \begin{cases} z^{(i)} - \epsilon^{(i)} & \text{if } z^{(f)} \geq \epsilon^{(f)}, \\ z^{(i)} - \epsilon^{(i)} - 1 & \text{if } z^{(f)} < \epsilon^{(f)}. \end{cases}$$

Therefore, $z^{(f)} \geq \epsilon^{(f)}$ holds if and only if the parity of $y^{(i)}$ and that of $z^{(i)} - \epsilon^{(i)}$ are equal to each other. In fact, since $10^{\kappa+1}$ is even, the parity of $z^{(i)}$ and that of r is same, so we can compare the parity of $y^{(i)}$ with that of $D - (10^\kappa/2)$. If the parities are the same, then we conclude $z^{(f)} \geq \epsilon^{(f)}$ so $y^{(ru)} = 10\tilde{s} + t$, and otherwise, we conclude $z^{(f)} < \epsilon^{(f)}$ so $y^{(ru)} = 10\tilde{s} + t - 1$. Details of how to compute the parity of $y^{(i)}$ will be explained in Section 4.3.

Note that a tie happens exactly when $\rho = z^{(f)} - \epsilon^{(f)} = 0$; indeed, it happens when the fractional part of $\frac{y}{10^\kappa}$ is exactly $1/2$, or equivalently,

$$\frac{y}{10^\kappa} + \frac{1}{2} = (10\tilde{s} + t) + \frac{\rho + (z^{(f)} - \epsilon^{(f)})}{10^\kappa}$$

is an integer. Since

$$-1 < \rho + (z^{(f)} - \epsilon^{(f)}) < 10^\kappa,$$

it follows that $\frac{y}{10^\kappa} + \frac{1}{2}$ is an integer if and only if

$$\rho + (z^{(f)} - \epsilon^{(f)}) = 0,$$

if and only if $\rho = z^{(f)} - \epsilon^{(f)} = 0$. Or equivalently, we have a tie if and only if D is divisible by 10^κ and $y = z - \epsilon$ is an integer. When that is the case, we need to choose between $y^{(ru)} = 10\tilde{s} + t$ and $y^{(rd)} = 10\tilde{s} + t - 1$ according to the given tie-break rule. Details of how to check if y is an integer will be explained in Section 4.6.

In summary, when $I \cap 10^{-k_0+1}\mathbb{Z}$ turns out to be empty, then:

Algorithm 4.3 (Skeleton of Dragonbox, part 2)**.**

1. Compute $D = \tilde{r} + (10^\kappa/2) - \lfloor \delta^{(i)}/2 \rfloor$.
2. Compute t, ρ by dividing D by 10^κ. Again, given that κ is a known constant, this can be done efficiently using the method described in [8]. In fact, since we do not care about the actual value of ρ and we only need to know if ρ is zero or not, we can do even better; see Section 4.8 for details.
3. If $\rho \neq 0$, then $(10\tilde{s} + t) \times 10^{-k+\kappa}$ is the answer we are looking for.
4. Otherwise, compare the parity of $y^{(i)}$ with that of $D - (10^\kappa/2)$. If they are different, then we have $z^{(f)} < \epsilon^{(f)}$, so $(10\tilde{s} + t - 1) \times 10^{-k+\kappa}$ is the answer we are looking for.
5. Otherwise, check if y is an integer. If that is the case, then we have a tie; break it according to the given rule, so that we choose one of $(10\tilde{s} + t - 1) \times 10^{-k+\kappa}$ and $(10\tilde{s} + t) \times 10^{-k+\kappa}$ as the answer.
6. Otherwise, $(10\tilde{s} + t) \times 10^{-k+\kappa}$ is the answer we are looking for.

Again, we want to avoid computing the parity of $y^{(i)}$, so we prefer to choose κ as large as possible.

4.2 Computing $z^{(i)}$

As in [4], we denote

$$10^k = \varphi_k \cdot 2^{e_k}$$

where e_k is an integer and φ_k is the unique rational number satisfying $2^{Q-1} \leq \varphi_k < 2^Q$. This means that

$$2^{e_k+Q-1} \leq 10^k < 2^{e_k+Q},$$

thus

$$k \log_2 10 - Q < e_k \leq k \log_2 10 - Q + 1,$$

implying

$$e_k = \lfloor k \log_2 10 \rfloor - Q + 1. \tag{2}$$

In Section 6, we will show that if Q is large enough, then

$$\begin{aligned} z^{(i)} &= \lfloor w_R \cdot 10^k \rfloor \\ &= \left\lfloor \left(f_c + \frac{1}{2} \right) \cdot 2^e \cdot 10^k \right\rfloor \\ &= \left\lfloor \left(f_c + \frac{1}{2} \right) \cdot 2^e \cdot \tilde{\varphi}_k \cdot 2^{e_k} \right\rfloor \end{aligned}$$

where $\tilde{\varphi}_k = \lfloor \varphi_k \rfloor$ or $\lfloor \varphi_k \rfloor + 1$, depending on the sign of k. Therefore,

$$z^{(i)} = \left\lfloor \left(\left(f_c + \frac{1}{2} \right) \cdot 2^{e+e_k+Q} \right) \cdot \tilde{\varphi}_k \cdot 2^{-Q} \right\rfloor.$$

Let us define

$$\beta := e + e_k + Q = e + \lfloor k \log_2 10 \rfloor + 1$$

so that

$$z^{(i)} = \left\lfloor \left(\left(f_c + \frac{1}{2} \right) \cdot 2^\beta \right) \cdot \tilde{\varphi}_k \cdot 2^{-Q} \right\rfloor.$$

We will impose a condition on κ to make sure that the quantity

$$\left(f_c + \frac{1}{2} \right) \cdot 2^\beta$$

is a q-bit integer; see Section 4.5 for details. Then,

$$z^{(i)} = \left\lfloor \left(\left(f_c + \frac{1}{2} \right) \cdot 2^\beta \right) \cdot \tilde{\varphi}_k \cdot 2^{-Q} \right\rfloor$$

is nothing but the upper q-bits of the $(q+Q)$-bit result of the multiplication of a q-bit integer $\left(f_c + \frac{1}{2} \right) \cdot 2^\beta$ and a Q-bit integer $\tilde{\varphi}_k$.[12]

Now we will analyze how the computation of $z^{(i)}$ can be done in terms of full/half multiplications. By *P-bit full multiplication*, we mean computing the $2P$-bit result of a multiplication of two P-bit integers. By *P-bit half multiplication*, we mean computing the lower half of the result of P-bit full multiplication. Typical machines today, like modern x86, often provide instructions for full multiplications. Some machines do not provide them, but even for such cases we can emulate full multiplication using several half multiplications. See, for example, [9]. It should be noted that even if the machine provides instructions for full multiplications, it is often the case that they are slower than some half multiplication instructions for the same size of integers. Also, it

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[12] To be precise, we need to be aware there might be a possibility that $\tilde{\varphi}_k$ is not a Q-bit integer, if $\lfloor \varphi_k \rfloor = 2^Q - 1$ and $\tilde{\varphi}_k = \lfloor \varphi_k \rfloor + 1$. However, this never happens for any practical values of k and Q.

is worth mentioning that for a typical modern x86 CPU, 64-bit multiplications tend to be significantly slower than 32-bit multiplications.

For the case of binary32 format, we choose $Q = 2q = 64$. Hence, we need to compute the upper 32-bits from the 96-bit multiplication result of a 32-bit integer and a 64-bit integer. On a typical modern x86 CPU, this can be done by one 64-bit full multiplication.[13]

For the case of binary64 format, we choose $Q = 2q = 128$. Hence, we need to compute the upper 64-bits from the 192-bit multiplication result of a 64-bit integer and a 128-bit integer. This can be done by two 64-bit full multiplications, one 64-bit addition, and one 64-bit addition-with-carry. One can see, for example, Section 3.7 of [4] for details.

4.3 Computing the Parities of $x^{(i)}$ and $y^{(i)}$

We need to compute the parities (that is, the *least significant bits*) of $x^{(i)}$ and $y^{(i)}$, when we compare the fractional part of z and that of δ and ϵ, respectively. This can be done faster than the full computation of $x^{(i)}$ and $y^{(i)}$.

First, note that

$$x^{(i)} = \left\lfloor \left(\left(f_c - \frac{1}{2} \right) \cdot 2^{\beta} \right) \cdot \tilde{\varphi}_k \cdot 2^{-Q} \right\rfloor$$

and

$$y^{(i)} = \left\lfloor \left(f_c \cdot 2^{\beta} \right) \cdot \tilde{\varphi}_k \cdot 2^{-Q} \right\rfloor .$$
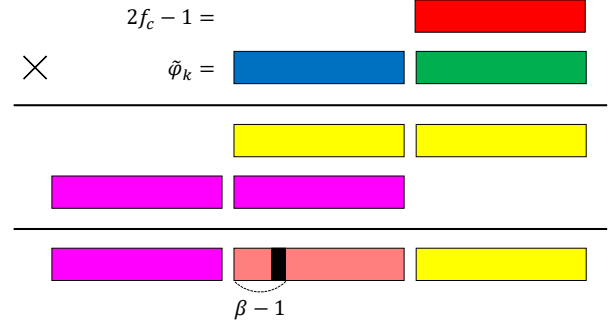
Here, the trick is to compute the multiplication of $\tilde{\varphi}_k$ with $2f_c - 1$ or $2f_c$, not with $\left(f_c - \frac{1}{2} \right) \cdot 2^{\beta}$ or $f_c \cdot 2^{\beta}$. Note that

$$x^{(i)} = \left\lfloor (2f_c - 1) \cdot \tilde{\varphi}_k \cdot 2^{-Q+\beta-1} \right\rfloor .$$

Here, $2f_c - 1$ is at most $(p + 2)$-bit integer, so assuming $q \geq p + 2$ (which is always the case for all relevant formats), we can represent it as a q-bit integer. Note that the least significant bit of $x^{(i)}$ is nothing but the $(\beta - 1)^{\text{th}}$ bit of the second first q-bit block of the $(q + Q)$-bit result of the multiplication $(2f_c - 1) \cdot \tilde{\varphi}_k$, counting from the most significant bit. The same can be said for $y^{(i)}$.

For the case of binary32 format, we choose $Q = 2q = 64$. Hence, we need to compute the middle 32-bits from the 96-bit multiplication result. Since the middle 32-bits are nothing but the upper 32-bits of the lower 64-bits, this can be done by one 64-bit half multiplication. After performing the multiplication, we shift the result to the right by $64 - (\beta - 1)$ bits, and return the least significant bit of the shifted result.

For the case of binary64 format, we choose $Q = 2q = 128$. Hence, we need to compute the middle 64-bits from the 192-bit multiplication result. This can be done by one 64-bit full multiplication (the upper half of the yellow boxes in the Figure 4) and one 64-bit half multiplication (the lower half

Figure 4. Illustration of the parity computation of $x^{(i)}$

of the purple boxes in the Figure 4), and one 64-bit addition. After computing the addition, we shift the result to the right by $64 - (\beta - 1)$ bits, and return the least significant bit of the shifted result.

4.4 Computing $\delta^{(i)}$

Since are considering the normal interval case ($F_w \neq 1$ or $E_w = E_{\min}$), computation of $\delta^{(i)}$ is very simple, as $\Delta = 2^e$ is a power of 2. Section 6 shows that if Q is large enough, then

$$\delta^{(i)} = \left\lfloor 2^e \cdot 10^k \right\rfloor = \left\lfloor 2^e \cdot \tilde{\varphi}_k \cdot 2^{e_k} \right\rfloor = \left\lfloor \tilde{\varphi}_k \cdot 2^{\beta-Q} \right\rfloor ,$$

so $\delta^{(i)}$ is nothing but the first β bits of $\tilde{\varphi}_k$, counting from the most significant bit.

4.5 Computing k, β, and κ

Note that

$$k = - \lfloor \log_{10} \Delta \rfloor + \kappa = - \lfloor e \log_{10} 2 \rfloor + \kappa$$

as we have assumed the normal interval case. The above can be computed efficiently using the usual trick of multiply-and-shift; see, for example, Section 3.4 of [4]. See Section 5.4 also. Similarly, we can compute

$$\beta = e + \lfloor k \log_2 10 \rfloor + 1$$

once we know the value of k.

As noted several times, we want to choose κ as big as possible, but at the same time we need to guarantee that

$$\left(f_c + \frac{1}{2} \right) \cdot 2^{\beta} = (2f_c + 1) \cdot 2^{\beta-1}$$

is at most a q-bit integer. Hence, let us compute the possible range of β in terms of κ.

From the definition of k, we know

$$\kappa - k = \lfloor e \log_{10} 2 \rfloor \leq e \log_{10} 2 < \kappa - k + 1,$$

so

$$\kappa - e \log_{10} 2 \leq k < \kappa + 1 - e \log_{10} 2.$$

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[13] To be precise, we only need the upper 64-bits, but generally computing the upper half while ignoring the lower half is not noticeably faster than the full multiplication. Thus, it is fair to consider such a computation as a form of full multiplication.

Hence,

$$\kappa \log_2 10 - e \le k \log_2 10 < (\kappa + 1) \log_2 10 - e,$$

so

$$\kappa \log_2 10 + 1 \le e + k \log_2 10 + 1 < (\kappa + 1) \log_2 10 + 1.$$

Therefore, taking the floor gives

$$\lfloor \kappa \log_2 10 \rfloor + 1 \le \beta \le \lfloor (\kappa + 1) \log_2 10 \rfloor + 1. \qquad (3)$$

It is clear from the above that $\beta \ge 1$, so $\left(f_c + \frac{1}{2}\right) \cdot 2^\beta$ is an integer. Also, since $f_c + \frac{1}{2}$ is strictly less than 2^{p+1}, it follows that

$$\left(f_c + \frac{1}{2}\right) \cdot 2^\beta < 2^{\beta + p + 1},$$

so it suffices to have

$$\beta + p + 1 \le q.$$

Thus, from (3), we know that it is sufficient to have

$$\lfloor (\kappa + 1) \log_2 10 \rfloor + p + 2 \le q. \qquad (4)$$

For the case of binary32 format, we have $q = 32$ and $p = 23$, so (4) becomes

$$\lfloor (\kappa + 1) \log_2 10 \rfloor \le 7,$$

so $\kappa \le 1$. Since we want κ to be at least 1, the only possible choice is $\kappa = 1$.

For the case of binary64 format, we have $q = 64$ and $p = 52$, so (4) becomes

$$\lfloor (\kappa + 1) \log_2 10 \rfloor \le 10,$$

so $\kappa \le 2$. Since we want κ to be at least 1, the only possible choices are $\kappa = 1, 2$. As we want to choose κ as big as possible, we let $\kappa = 2$ in this case.

4.6 Integer Checks

Recall that sometimes we need to know if x, y, z are integers or not. Let us look at the case of z first. Recall that

$$z = \left(f_c + \frac{1}{2}\right) \cdot 2^e \cdot 10^k = (2f_c + 1) \cdot 2^{e+k-1} \cdot 5^k,$$

and $2f_c + 1$ is an odd integer. Therefore, we have:

Lemma 4.4.
z is an integer if and only if:

1. $e + k - 1 \ge 0$, and
2. Either $k \ge 0$ or $k < 0$ and 5^{-k} divides $2f_c + 1$.

Note that
$$k = -\lfloor e \log_{10} 2 \rfloor + \kappa,$$

so $0 \le e + k - 1$ if and only if

$$0 \le e + \kappa - 1 - \lfloor e \log_{10} 2 \rfloor,$$

if and only if

$$\lfloor e \log_{10} 2 \rfloor \le e + \kappa - 1,$$

if and only if

$$e \log_{10} 2 < e + \kappa,$$

if and only if

$$-\kappa < e \log_{10} 5,$$

if and only if

$$-\kappa \log_5 10 < e.$$

Or equivalently,

$$e \ge -\lfloor \kappa \log_5 10 \rfloor = -\kappa - \lfloor \kappa \log_5 2 \rfloor$$

as $\kappa \log_5 10$ is never an integer.

On the other hand, note that we have $k \ge 0$ if and only if

$$\lfloor e \log_{10} 2 \rfloor \le \kappa$$

if and only if

$$e \log_{10} 2 < \kappa + 1$$

if and only if

$$e < (\kappa + 1) \log_2 10.$$

Or equivalently,

$$e \le \lfloor (\kappa + 1) \log_2 10 \rfloor$$

as $(\kappa + 1) \log_2 10$ is never an integer.

Consequently,

1. If $e < -\kappa - \lfloor \kappa \log_5 2 \rfloor$, then $e + k - 1 < 0$, so z is not an integer.

2. Otherwise, if $e \le \lfloor (\kappa + 1) \log_2 10 \rfloor$, then $k \ge 0$, so z is an integer.

3. Otherwise, z is an integer if and only if 5^{-k} divides $2f_c + 1$.

Recall that $f_c + \frac{1}{2}$ is strictly smaller than 2^{p+1}, so

$$2f_c + 1 < 2^{p+2}.$$

Hence, $2f_c + 1$ cannot have 5^{-k} as a factor if $5^{-k} \ge 2^{p+2}$, or equivalently,

$$-k \ge (p + 2) \log_5 2.$$

Or, in terms of e, we can rewrite the above inequality as

$$\lfloor e \log_{10} 2 \rfloor - \kappa \ge (p + 2) \log_5 2,$$

or equivalently,

$$\lfloor e \log_{10} 2 \rfloor - \kappa > \lfloor (p + 2) \log_5 2 \rfloor,$$

which is equivalent to

$$e \log_{10} 2 \ge \lfloor (p + 2) \log_5 2 \rfloor + \kappa + 1.$$

Thus, we conclude that z is not an integer if

$$e > \lfloor (\lfloor (p+2) \log_5 2 \rfloor + \kappa + 1) \log_2 10 \rfloor .$$

If

$$\lfloor (\kappa + 1) \log_2 10 \rfloor < e$$
$$\leq \lfloor (\lfloor (p+2) \log_5 2 \rfloor + \kappa + 1) \log_2 10 \rfloor ,$$

then we do need to check divisibility of $2f_c + 1$ by 5^{-k}. In this case, we can apply the divisibility test method introduced in [8]. To briefly explain the method, for given a positive integer m in a certain range, we precompute the modular inverse of 5^m in the ring $\mathbb{Z}/2^q$. Since multiplying the modular inverse of 5^m is an automorphism on $\mathbb{Z}/2^q$, and since multiplying the modular inverse of 5^m coincides with dividing by 5^m for numbers divisible by 5^m, it follows that the set of integers $0 \leq n < 2^q$ that is divisible by 5^m should be bijectively mapped onto the set $\{0, 1, \cdots, \lfloor (2^q - 1)/5^m \rfloor\}$. Hence, if the result of multiplying the modular inverse of 5^m to the given number is less than or equal to the precomputed $\lfloor (2^q - 1)/5^m \rfloor$, then we conclude that the given number is divisible by 5^m, and otherwise, it is not divisible by 5^m. See Section 9 of [8] for details.

Now, for our case, the exponent $-k$ lies in the range $1, 2, \cdots, \lfloor (p+2) \log_5 2 \rfloor$, so it suffices to precompute the modular inverses and the maximum possible quotients for those exponents and store them in a static data table, and then use them to determine if $2f_c + 1$ is divisible by 5^{-k}.

To check if x is an integer, we can apply exactly the same procedure. However, to check if y is an integer, we need a slight modification since we do not know how many times f_c is divisible by 2. To be precise, recall that

$$y = f_c \cdot 2^e \cdot 10^k = f_c \cdot 2^{e+k} \cdot 5^k,$$

so:

Lemma 4.5.
y is an integer if and only if:

1. Either $e + k \geq 0$ or $e + k < 0$ and 2^{-e-k} divides f_c, and
2. Either $k \geq 0$ or $k < 0$ and 5^{-k} divides f_c.

Following a similar procedure, we can deduce that $e+k \geq 0$ if and only if

$$e \geq - \lfloor (\kappa + 1) \log_5 10 \rfloor = -(\kappa + 1) - \lfloor (\kappa + 1) \log_5 2 \rfloor .$$

Thus, the strategy of checking if y is an integer is:

1. If $e > \lfloor (\kappa + 1) \log_2 10 \rfloor$, then $e + k \geq 0$ and $k < 0$, so y is an integer if and only if 5^{-k} divides f_c.

2. Otherwise, if $e \geq -(\kappa + 1) - \lfloor (\kappa + 1) \log_5 2 \rfloor$, then $e + k \geq 0$ and $k \geq 0$, so y is an integer.

3. Otherwise, we have $e + k < 0$ and $l \geq 0$, so y is an integer if and only if 2^{-e-k} divides f_c.

Note that f_c is divisible by 2^{-e-k} if and only if there are at least $-e - k$ many trailing zeros in the binary representation of f_c. Many typical modern CPU's provide an instruction returning the number of trailing zeros, so on such machines this is very cheap. Otherwise, we can still check divisibility by, for example, shifting f_c to right by $-e - k$ bits and then to left by $-e - k$ bits, and then comparing the result with the original value of f_c. In this case, we need to be careful that shifting by an excessive amount of bits might not be a valid operation in many CPU's.

4.7 Efficient Division by $10^{\kappa+1}$

As noted earlier, we can replace the notoriously slow integer division by simpler instructions if the divisor is a known constant, as explained in [8]. Usually, compilers these day are smart enough to perform this optimization very well, but still there is a chance that we can do better than them when there are some constraints that compilers may not be aware of.

In this section, we will discuss on how to optimize the computation of the integers s, r satisfying

$$z^{(i)} = 10^{\kappa+1} s + r, \quad 0 \leq r < 10^{\kappa+1}.$$

Note that the usual trick of optimizing divisions-by-constants is to find a binary approximation of the reciprocal of the divisor, multiply it to the dividend, and then shift the result. However, this sometimes does not work because the required precision of the approximation might be too large so that the multiplication can overflow. Therefore, the valuable piece of information here is that the dividend $z^{(i)}$ does not span the full range of q-bit integers, so that the required precision can be smaller than usual. More specifically, recall that

$$z = \left(f_c + \frac{1}{2} \right) \cdot 2^e \cdot 10^k,$$

and since

$$k = - \lfloor e \log_{10} 2 \rfloor + \kappa < -e \log_{10} 2 + \kappa + 1$$

and $f_c + \frac{1}{2} < 2^{p+1}$, it follows that

$$z < 2^{p+1} \cdot 2^e \cdot 2^{-e} \cdot 10^{\kappa+1} = 2^{p+1} \cdot 10^{\kappa+1}.$$

Now, we use the following lemma from [4], originally presented in [5], to find a required precision for dividing by $10^{\kappa+1}$.

Lemma 4.6 (Adams, 2018).
Let k be a nonnegative integer, b an integer, and g a positive integer. Then for any integer u satisfying

$$u > b + \log_2 \frac{5^k g}{5^k - (2^b g \bmod 5^k)},$$

we have

$$\left\lfloor \frac{g \cdot 2^b}{5^k} \right\rfloor = \left\lfloor g \cdot 2^{b-u} \left(\left\lfloor \frac{2^u}{5^k} \right\rfloor + 1 \right) \right\rfloor .$$

In our setting, $g = z^{(i)}$, $b = -\kappa - 1$, and $k = \kappa + 1$, so that

$$s = \left\lfloor \frac{z^{(i)}}{10^{\kappa+1}} \right\rfloor = \left\lfloor \frac{z^{(i)} \cdot 2^{-\kappa-1}}{5^{\kappa+1}} \right\rfloor.$$

Hence, by the lemma,

$$s = \left\lfloor z^{(i)} \cdot \left(\left\lfloor \frac{2^u}{5^{\kappa+1}} \right\rfloor + 1 \right) \cdot 2^{-\kappa-u-1} \right\rfloor$$

if u satisfies the inequality

$$u > -\kappa - 1 + \log_2 \frac{5^{\kappa+1} z^{(i)}}{5^{\kappa+1} - (2^{-\kappa-1} z^{(i)} \bmod 5^{\kappa+1})}. \quad (5)$$

Note that

$$(2^{-\kappa-1} z^{(i)} \bmod 5^{\kappa+1}) \leq 5^{\kappa+1} - 2^{-\kappa-1},$$

so the right-hand side of the inequality (5) is upper-bounded by

$$-\kappa - 1 + \log_2 \left(2^{\kappa+1} \cdot 5^{\kappa+1} z^{(i)} \right) = \log_2 \left(5^{\kappa+1} z^{(i)} \right),$$

which is again strictly upper-bounded by

$$\log_2 \left(5^{\kappa+1} \cdot 2^{p+1} \cdot 10^{\kappa+1} \right) = p + \kappa + 2 + (2\kappa + 2) \log_2 5.$$

Therefore, in order to conclude

$$s = \left\lfloor z^{(i)} \cdot \left(\left\lfloor \frac{2^u}{5^{\kappa+1}} \right\rfloor + 1 \right) \cdot 2^{-\kappa-u-1} \right\rfloor,$$

it suffices to have

$$u \geq p + \kappa + 3 + \lfloor (2\kappa + 2) \log_2 5 \rfloor.$$

For the case of binary32 format with $\kappa = 1$, the minimum possible value of u estimated above is

$$23 + 1 + 3 + \lfloor 4 \log_2 5 \rfloor = 36.$$

This actually does not give us a better bound compared to the classical method explained in [8], Theorem 4.2, which gives us $u \geq 35$. Thus, there is little hope that we can do better than the compiler in this case.

On the other hand, for the case of binary64 format with $\kappa = 2$, the minimum possible value of u estimated above is

$$52 + 2 + 3 + \lfloor 6 \log_2 5 \rfloor = 70,$$

which is better than the bound we get from [8], Theorem 4.2, which gives us $u \geq 71$. Although it may seem to be not a big difference, the consequence of saving one more bit here is actually quite big. Indeed, note that the approximation given by [8] is

$$\left\lceil \frac{2^{71}}{125} \right\rceil = \texttt{0x1,0624,dd2f,1a9f,be77,}$$

which exceeds 64-bits, while the approximation we derived is

$$\left\lceil \frac{2^{70}}{125} \right\rceil = \texttt{0x8312,6e97,8d4f,df3c,}$$

which fits inside 64-bits. Therefore, our approximation enables us to compute s by only one 64-bit full multiplication and one 64-bit shift, but that is not achievable with the classical method. Specifically, according to Lemma 4.6, we know

$$s = \left\lfloor z^{(i)} \cdot \left\lceil \frac{2^{70}}{125} \right\rceil \cdot 2^{-73} \right\rfloor,$$

thus we can compute s by first performing a 64-bit full multiplication of $z^{(i)}$ and $\left\lceil \frac{2^{70}}{125} \right\rceil$, taking the upper 64-bits from the result, and then shifting it to the right by 9 bits.

It is also worth mentioning that since r is strictly smaller than $10^{\kappa+1}$, we do not need q-bits for storing r. For example, for the case of binary64 format, we can store r in a 32-bit register. This enables us to compute r without performing 64-bit operations. Instead, it suffices to perform one 32-bit half multiplication to compute the lower 32-bits of $10^{\kappa+1}s$, and then by subtracting the result from the lower 32-bits of $z^{(i)}$, we get the correct answer for r.

4.8 Efficient Division by 10^κ

Recall that when $I \cap 10^{-k_0+1}\mathbb{Z}$ is not empty, we need to divide

$$D = \tilde{r} + (10^\kappa/2) - \lfloor \delta^{(i)}/2 \rfloor$$

by 10^κ to compute the integers t, ρ satisfying

$$D = 10^\kappa t + \rho, \quad 0 \leq \rho < 10^\kappa.$$

Usually, obtaining both the quotient and the remainder requires two multiplications to be performed. However, since we are only interested in whether or not ρ is zero, rather than the complete value of ρ, we might be able to do better. Indeed, because D and 10^κ are not big, we can reduce the required number of multiplications to 1.

Recall from Section 9 of [8] that an N-bit integer n is divisible by 5^m if and only if the lower N-bits of n times the modular inverse of 5^m is less than or equal to $\lfloor (2^N - 1)/5^m \rfloor$. On the other hand, recall from Section 4 of [8] that we can divide by a constant by multiplying the binary expansion of the reciprocal of the divisor, and then shifting to the right by a certain amount. Now, the trick is to combine two magic numbers of these methods into one. We will explain this trick in more detail for each of the binary32 and the binary64 formats separately.

Before that, let us first observe that D is at most $10^{\kappa+1}$. Indeed, by definition we have $\tilde{r} \leq 10^{\kappa+1}$. Also, because of how we choose k, we have $\delta \geq 10^\kappa$. To see why, recall from (1) that

$$10^{-k_0} \leq \Delta < 10^{-k_0+1},$$

and since $\delta = \Delta \cdot 10^{k_0+\kappa}$, it follows that

$$10^\kappa \leq \delta < 10^{\kappa+1}. \quad (6)$$

This shows $D \leq 10^{\kappa+1}$.

Now, let us consider the binary32 format with $\kappa = 1$. In this case, we are dividing D by 10. Assuming D is stored as a 32-bit integer, which is the most common preferred word size of today's machines, we wish to compute the quotient and at the same time check if D is divisible by $10 = 2 \cdot 5$, by only performing one 32-bit half multiplication. Luckily, a very special fact about 5 is that its modular inverse in any $\mathbb{Z}/2^N$ always coincides with the approximate reciprocal of 5 given by Theorem 4.2 of [8], whenever N is a multiple of 4.[14] Hence, we can indeed perform two operations (computing the quotient and checking the divisibility) by just one multiplication. More concretely, our strategy is the following.

1. Compute the 32-bit half multiplication of D and the magic number `0xcccd`. Note that `0xcccd` is the modular inverse of 5 in $\mathbb{Z}/2^{16}$, so we can apply the divisibility test algorithm explained in the Section 9 of [8]. At the same time it satisfies the condition for approximate reciprocal of 5 given by Theorem 4.2 of [8]. Indeed, since D is at most 100, so D is at most a 7-bit integer. And, we have the inequality

$$\left\lceil \frac{2^{7+12}}{10} \right\rceil \leq \texttt{0xcccd} \leq \left\lfloor \frac{2^{7+12} + 2^{12}}{10} \right\rfloor,$$

thus Theorem 4.2 of [8] applies. The multiplication of D and `0xcccd` is at most 23-bits, so we do not need to worry about overflow.

2. The quotient can be obtained by shifting the result to the right by 19 bits.

3. Furthermore, D is divisible by 10 if and only if the lowest bit of the result of the multiplication is zero and the next lowest 16-bits form a number less than or equal to $\left\lfloor (2^{16} - 1)/5 \right\rfloor$.

Next, let us consider the binary64 format with $\kappa = 2$. In this case, we are dividing D by 100. Again assuming D is stored as a 32-bit integer, we wish to compute the quotient and at the same time check if D is divisible by $100 = 4 \cdot 25$, by only performing one 32-bit half multiplication. Unfortunately, 25 is not that good compared to 5 in the sense that the approximate reciprocal and the modular inverse are in general very different. However, since D is at most 1000, which fits in 10-bits, we can split the magic number into two parts, so that the upper part consists of the approximate reciprocal and the lower part consists of the modular inverse.

To be precise, we choose the magic number μ such that the lowest 12-bits of μ form the modular inverse of 25 in

$\mathbb{Z}/2^{12}$ and μ satisfies the inequality

$$\left\lceil \frac{2^{12+\ell}}{100} \right\rceil \leq \mu \leq \left\lfloor \frac{2^{12+\ell} + 2^\ell}{100} \right\rfloor .\text{[15]}$$

The smallest ℓ such that such μ exists is 15, and we can choose $\mu = \texttt{0x147c29}$. Thus, our strategy is:

1. Compute the 32-bit half multiplication of D and the magic number `0x147c29`. The result of the multiplication is at most 31-bits, so we do not need to worry about overflow.

2. The quotient can be obtained by shifting the result to the right by 27 bits.

3. Furthermore, D is divisible by 100 if and only if the lowest 2-bits of the result of the multiplication are zero and the next lowest 12-bits form a number less than or equal to $\left\lfloor (2^{12} - 1)/25 \right\rfloor$.

4.9 Some Facts about Correct Rounding

In this section, we will show that

$$y^{(ru)} := \left\lfloor \frac{y}{10^\kappa} + \frac{1}{2} \right\rfloor 10^\kappa \quad \text{and}$$

$$y^{(rd)} := \left\lceil \frac{y}{10^\kappa} - \frac{1}{2} \right\rceil 10^\kappa$$

are always inside $10^k I$. First, note that $y^{(ru)}$ and $y^{(rd)}$ should be one of $a := \left\lfloor \frac{y}{10^\kappa} \right\rfloor 10^\kappa$ and $b := \left(\left\lfloor \frac{y}{10^\kappa} \right\rfloor + 1 \right) 10^\kappa$. More precisely,

1. $y^{(ru)} = y^{(rd)} = a$ if $\left(\frac{y}{10^\kappa} \right)^{(f)} < \frac{1}{2}$,

2. $y^{(ru)} = b$ and $y^{(rd)} = a$ if $\left(\frac{y}{10^\kappa} \right)^{(f)} = \frac{1}{2}$,

3. $y^{(ru)} = y^{(rd)} = b$ if $\left(\frac{y}{10^\kappa} \right)^{(f)} > \frac{1}{2}$.

Note that $\frac{a}{10^\kappa} = \left\lfloor w \cdot 10^{k_0} \right\rfloor$. As shown in the proof of Proposition 3.1, $w \in I$ implies that at least one of $\frac{a}{10^\kappa} \in 10^{k_0} I$ or $\frac{b}{10^\kappa} \in 10^{k_0} I$ holds, thus we have at least one of $a \in 10^k I$ or $b \in 10^k I$.

Suppose first that $a \notin 10^k I$, so $b \in 10^k I$. We claim that in this case the fractional part of $\frac{y}{10^\kappa}$ should be strictly greater than $\frac{1}{2}$, so $y^{(ru)} = y^{(rd)} = b \in 10^k I$. Since $F_w \neq 1$ or $E_w = E_{\min}$, y must be at the exact center of $10^k I$, so $a \notin 10^k I$ and $b \in 10^k I$ together imply that $b - y \leq y - a$. In other words, the fractional part of $\frac{y}{10^\kappa}$ should be at least $\frac{1}{2}$. Now it suffices to show that the fractional part cannot be equal to $\frac{1}{2}$. Suppose on the contrary that $\left(\frac{y}{10^\kappa} \right)^{(f)} = \frac{1}{2}$. Then $b - y = y - a$, but since $a \notin 10^k I$, $b \in 10^k I$, and y is at the center of $10^k I$, it follows that $10^k I = (a, b]$. This implies

$$10^\kappa \mathbb{Z} \ni b = z = (2f_c + 1) \cdot 2^{e-1} \cdot 10^k$$
$$= 2^{e+k-1} \cdot 5^k \cdot (2f_c + 1),$$

---

[14] This indeed comes from the fact that 5 is a number of the form $2^n + 1$. Note that the binary expansion of 5 is 101, and multiplying the binary number $1100, 1100, \cdots 1100$ to 101 results in $1111, 1111, \cdots 1111, 00$, so multiplying $1100, 1100, \cdots 1101$ to 101 results in $1, 0000, 0000, \cdots 0000, 01$, regardless of how many 1100's we initially had.

[15] We can use 10-bits instead of 12-bits, but the end result is the same.

and since $2f_c + 1$ is an odd integer, we must have

$$e + k - 1 = \kappa \quad \text{and} \quad 2f_c + 1 = 5^{e-1}.$$

However, by the same reason, $a = x$ implies

$$e + k - 1 = \kappa \quad \text{and} \quad 2f_c - 1 = 5^{e-1},$$

which is a contradiction. This shows the claim.

Similarly, if we suppose $b \notin 10^k I$, then we must have $\left(\frac{y}{10^\kappa}\right)^{(f)} \leq \frac{1}{2}$, and if we further assume $\left(\frac{y}{10^\kappa}\right)^{(f)} = \frac{1}{2}$, then $I = [a, b)$ follows which leads to a contradiction by the same reasoning. Hence, in this case we must have $y^{(ru)} = y^{(rd)} = a \in 10^k I$, so we always have $y^{(ru)}, y^{(rd)} \in 10^k I$.

5. Shorter Interval Case

So far, we have assumed that either $F_w \neq 1$ or $E_w = E_{\min}$, so that the length of the interval Δ is always equal to 2^e. In this section, we will assume $F_w = 1$ and $E_w \neq E_{\min}$ so that $\Delta = 3 \cdot 2^{e-2}$. Note that presence of this shorter interval case complicates a lot of things we argued in the last section, including but not limited to computation of k and $\delta^{(i)}$, integer checks, and the claim that $y^{(ru)}$ and $y^{(rd)}$ are always in $10^k I$ is no longer true, etc.. Thus, we will follow a completely separate path for the shorter interval case.

We will in fact more closely mimic the original Schubfach algorithm, rather than what is described in Section 4 in this case, because of the following reasons:

1. Shorter interval cases are rare, especially extremely rare for the binary64 format. Thus, whatever we do with them will not affect an average performance very much.[16]

2. The algorithm given in Section 4 is already more complicated than the original Schubfach algorithm, and shoehorning the shorter interval case into it will likely produce a much more complicated one, because lots of the assumptions we made are simply not true for that case.

3. Because we have $F_w = 1$, computing the approximate multiplication by 10^k is no more a heavy operation; in particular, no actual multiplication is needed. Thus, there is little reason to try hard to avoid it. We will give some detailed explanation on this in Section 5.2.

5.1 Overview

Following Schubfach [1], we will work with $k_0 = -\lfloor \log_{10} \Delta \rfloor$ rather than $k = k_0 + \kappa$. Let us define

$$x := w_L \cdot 10^{k_0},$$
$$y := w \cdot 10^{k_0},$$
$$z := w_R \cdot 10^{k_0}$$

---

[16] In fact, we have observed that failing to inline the code path for the shorter interval case resulted in a measurably worse performance. Hence, in our reference implementation [10], we enforced the compiler to inline the code path for the shorter interval case.

as before, where k is replaced by k_0. First, we compute $x^{(i)}$ and $z^{(i)}$; see Section 5.2 for details. Next, define

$$\tilde{x}^{(i)} := \min(10^{k_0} I \cap \mathbb{Z}), \quad \tilde{z}^{(i)} := \max(10^{k_0} I \cap \mathbb{Z}).$$

In other words, $\tilde{x}^{(i)}$ is $x^{(i)}$ if x is an integer and is contained in $10^{k_0} I$, or $\tilde{x}^{(i)}$ is $x^{(i)} + 1$ otherwise, and similarly, $\tilde{z}^{(i)}$ is $z^{(i)}$ if z is not an integer or is contained in $10^{k_0} I$, or $\tilde{z}^{(i)}$ is $z^{(i)} - 1$ otherwise.

Proposition 5.1.
$I \cap 10^{-k_0+1} \mathbb{Z}$ is nonempty if and only if

$$\tilde{x}^{(i)} \leq \left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10.$$

If the above inequality is true, then $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10^{-k_0+1}$ is the unique element in $I \cap 10^{-k_0+1} \mathbb{Z}$.

Proof. By applying Proposition 4.1 with $\kappa = 0$, we conclude that $I \cap 10^{-k_0+1} \mathbb{Z}$ is nonempty if and only if

$$s \in 10^{k_0-1} I$$

where we define s, r to be the unique integer satisfying $z^{(i)} = 10s + r, 0 \leq r < 10$.[17]

Note that we can in fact replace $z^{(i)}$ by $\tilde{z}^{(i)}$ when we compute s. Indeed, suppose that z is an integer and is not contained in $10^{k_0} I$, so that $\tilde{z}^{(i)} = z^{(i)} - 1$. Assume first that $s \in 10^{k_0-1} I$. In this case, we should have $r \neq 0$ since otherwise we have $z^{(i)} \in 10^{k_0} I$. Thus, we get the same quotient when we replace $z^{(i)}$ by $\tilde{z}^{(i)}$.

Next, assume that $s \notin 10^{k_0-1} I$. Again, we are okay if $r \neq 0$, so suppose that $r = 0$, thus

$$\tilde{z}^{(i)} = z^{(i)} - 1 = 10s - 1 = 10(s - 1) + 9.$$

We claim that in this case we still have $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor = s - 1 \notin 10^{k_0-1} I$. If not, then we have $s - 1 \in 10^{k_0-1} I$ but $s \notin 10^{k_0-1} I$. Note that $s = \frac{z}{10}$ is the right endpoint of the interval $10^{k_0-1} I$, thus we get that the length of the interval $10^{k_0-1} I$ is at least 1, or equivalently,

$$\Delta \geq 10^{-k_0+1},$$

which is absurd by the definition of k_0; see (1).

Therefore, $\left\lfloor \frac{z^{(i)}}{10} \right\rfloor$ is in $10^{k_0-1} I$ if and only if $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor$ is in $10^{k_0-1} I$, and if one of them is true, then we should have $\left\lfloor \frac{z^{(i)}}{10} \right\rfloor = \left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor$.

Now, it remains to show that $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \in 10^{k_0-1} I$ if and only if

$$\tilde{x}^{(i)} \leq \left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10.$$

---

[17] To be precise, we have assumed $\kappa > 0$ before stating Proposition 4.1, but the proof of Proposition 4.1 does not depend on that assumption and it can be applied for the case $\kappa = 0$ as well.

This is in fact trivial; note that $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \in 10^{k_0-1}I$ if and only if

$$\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10 \in 10^{k_0}I,$$

if and only if

$$\tilde{x}^{(i)} \leq \left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10 \leq \tilde{z}^{(i)}$$

by definition of $\tilde{x}^{(i)}$ and $\tilde{z}^{(i)}$, but the inequality

$$\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10 \leq \tilde{z}^{(i)}$$

is obvious. This concludes the proof. $\qquad\square$

Again, $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor$ might contain trailing zeros, so we need to deal with them.

Next, it remains to discuss what should we do if $I \cap 10^{-k_0+1}\mathbb{Z}$ turns out to be empty. In this case, we first compute

$$y^{(ru)} = \left\lfloor y + \frac{1}{2} \right\rfloor.$$

Again this can be done without actually performing a multiplication; see Section 5.3 for details.

And then, detect if we have a tie, that is, the fractional part of y is exactly $1/2$. If that is indeed the case, then follow the given rule for breaking the tie, and if the conclusion is to prefer $y^{(rd)}$, then let $y^{(r)} := y^{(rd)}$. Otherwise, let $y^{(r)} := y^{(ru)}$. Details of how to detect a tie is explained in Section 5.6.

Note that, not like the normal interval case, $y^{(ru)}$ nor $y^{(rd)}$ are not guaranteed to be inside $10^{k_0}I$. However, recall that it is very simple to check if an integer is in $10^{k_0}I$: we can just compare it with $\tilde{x}^{(i)}$ and $\tilde{z}^{(i)}$.

And another good news here is that $y^{(ru)}$ (and thus $y^{(rd)}$ as well) is guaranteed to be at most $\tilde{z}^{(i)}$, and also whenever $y^{(r)}$ is not in $10^{k_0}I$, $y^{(r)}+1$ is the correctly rounded decimal representation of w with the shortest number of digits. See Section 5.7 for details.

In conclusion, we can describe the algorithm for the shorter interval case as:

Algorithm 5.2 (Skeleton of Dragonbox, part 3)**.**

1. Compute k_0 and β, where we define β as

$$\beta := e + e_{k_0} + Q = e + \lfloor k_0 \log_2 10 \rfloor + 1$$

   as in the normal interval case, except for that k is replaced by k_0. See Section 5.4 for details.
2. Compute $x^{(i)}$ and $z^{(i)}$; see Section 5.2 for details.
3. Compute $\tilde{x}^{(i)}$ and $\tilde{z}^{(i)}$. This involves how to check if x or z are integers. Details of how to check that will be explained in Section 5.5.

4. Compute $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor$ and check if the inequality

$$\tilde{x}^{(i)} \leq \left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10$$

   holds. If it holds, then we conclude that $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor \cdot 10^{-k_0+1}$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$. In this case, $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor$ might contain trailing decimal zeros, so find the greatest integer d such that 10^d divides $\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor$, then

$$\left(\left\lfloor \frac{\tilde{z}^{(i)}}{10} \right\rfloor / 10^d \right) \times 10^{d-k_0+1}$$

   is the answer we are looking for.
5. Otherwise, compute $y^{(ru)}$; see Section 5.3 for details.
6. Detect tie, as described in Section 5.6. If we have tie, then choose between $y^{(ru)}$ and $y^{(rd)} = y^{(ru)} - 1$ according to a given rule. Let $y^{(r)}$ be the chosen one, then $y^{(r)} \times 10^{k_0}$ is the answer we are looking for.
7. Otherwise, check if $y^{(ru)} \geq \tilde{x}^{(i)}$ holds. If that is the case, then $y^{(ru)} \times 10^{k_0}$ is the answer we are looking for.
8. Otherwise, $(y^{(ru)}+1) \times 10^{k_0}$ is the answer we are looking for.

5.2 Computing $x^{(i)}$ and $z^{(i)}$

Recall that for shorter interval case, we have

$$w_L = \left(f_c - \frac{1}{4} \right) \cdot 2^e,$$

so by results of Section 6, we get that

$$\begin{aligned}
x^{(i)} &= \left\lfloor (4f_c - 1) \cdot 2^{e-2} \cdot 10^{k_0} \right\rfloor \\
&= \left\lfloor (4f_c - 1) \cdot 2^{\beta-2} \cdot \tilde{\varphi}_{k_0} \cdot 2^{-Q} \right\rfloor.
\end{aligned}$$

Note that $F_w = 1$, so $f_c = 2^p$, which implies

$$\begin{aligned}
x^{(i)} &= \left\lfloor (2^{p+2} - 1) \cdot \tilde{\varphi}_{k_0} \cdot 2^{-Q} \cdot 2^{\beta-2} \right\rfloor \\
&= \left\lfloor (1 - 2^{-p-2}) \tilde{\varphi}_{k_0} \cdot 2^{-Q} \cdot 2^{p+\beta} \right\rfloor.
\end{aligned}$$

For the case of binary32 format, we can exhaustively verify that $x^{(i)}$ can be computed as

$$x^{(i)} = \left\lfloor \left(\tilde{\varphi}_{k_0} - \left\lfloor \frac{\tilde{\varphi}_{k_0}}{2^{p+2}} \right\rfloor \right) \cdot 2^{-(Q-p-\beta)} \right\rfloor,$$

which consists two shifts and two subtractions.

For the case of binary64 format, we can exhaustively verify that $x^{(i)}$ can be computed as

$$x^{(i)} = \left\lfloor \left(\left\lfloor \frac{\tilde{\varphi}_{k_0}}{2^{Q-q}} \right\rfloor - \left\lfloor \frac{\tilde{\varphi}_{k_0}}{2^{Q-q+p+2}} \right\rfloor \right) \cdot 2^{-(q-p-\beta)} \right\rfloor,$$

which again consists of two shifts and two subtractions, after extracting the upper 64-bits from $\tilde{\varphi}_{k_0}$.

Similarly, we have

$$z^{(i)} = \left\lfloor (2^{p+1} + 1) \cdot \tilde{\varphi}_{k_0} \cdot 2^{-Q} \cdot 2^{\beta-1} \right\rfloor$$
$$= \left\lfloor (1 - 2^{-p-1}) \tilde{\varphi}_{k_0} \cdot 2^{-Q} \cdot 2^{p+\beta} \right\rfloor,$$

and it can be exhaustively verified that $z^{(i)}$ also can be computed in a similar way.

Our reference implementation [10] contains a program verifying these computations.

5.3 Computing $y^{(ru)}$

Note that

$$y = f_c \cdot 2^e \cdot 10^{k_0} = 2^{p+\beta-Q} \varphi_{k_0},$$

thus

$$y^{(ru)} = \left\lfloor y + \frac{1}{2} \right\rfloor = \left\lfloor \frac{2y+1}{2} \right\rfloor$$
$$= \left\lfloor \frac{2^{p+\beta+1-Q} \varphi_{k_0} + 1}{2} \right\rfloor$$
$$= \left\lfloor \frac{\lfloor 2^{p+\beta+1-Q} \varphi_{k_0} \rfloor + 1}{2} \right\rfloor.$$

Applying the inequality (3) to $\kappa = 0$, we get $1 \le \beta \le 4$, so $p + \beta + 1 \le p + 5$. Note that for both binary32 and binary64, $Q = 2q$ is strictly bigger than $p + 5$, so $2^{p+\beta+1-Q}$ is a negative power of 2. Hence, we have that

$$\left\lfloor 2^{p+\beta+1-Q} \varphi_{k_0} \right\rfloor = \left\lfloor 2^{p+\beta+1-Q} \left\lfloor \varphi_{k_0} \right\rfloor \right\rfloor.$$

It can be exhaustively checked for both binary32 and binary64 formats that

$$\left\lfloor 2^{p+\beta+1-Q} \left\lfloor \varphi_{k_0} \right\rfloor \right\rfloor = \left\lfloor 2^{p+\beta+1-Q} \tilde{\varphi}_{k_0} \right\rfloor$$

for all possible values of k_0 and β, so we have

$$y^{(ru)} = \left\lfloor \frac{\lfloor 2^{p+\beta-Q} \tilde{\varphi}_{k_0} \rfloor + 1}{2} \right\rfloor,$$

which means that $y^{(ru)}$ can be computed with one subtraction, one increment, and two shifts. Our reference implementation [10] contains a program verifying the above mentioned exhaustive check.

5.4 Computing k_0 and β

We can apply the same idea as in Section 4.5 to compute k_0 and β, but computing k_0 is a bit more involved. Recall that for the shorter interval case,

$$k_0 = -\left\lfloor \log_{10} \Delta \right\rfloor$$
$$= -\left\lfloor \log_{10} \left(3 \cdot 2^{e-2} \right) \right\rfloor$$
$$= -\left\lfloor e \log_{10} 2 - \log_{10} \frac{4}{3} \right\rfloor.$$

The idea is again approximate $\log_{10} 2$ and $\log_{10} \frac{4}{3}$ using their binary approximations. More precisely, for a positive integer u, define

$$m_u := \left\lfloor 2^u \log_{10} 2 \right\rfloor, \quad s_u := \left\lfloor 2^u \log_{10} \frac{4}{3} \right\rfloor,$$

and we approximate $\left\lfloor e \log_{10} 2 - \log_{10} \frac{4}{3} \right\rfloor$ as

$$\left\lfloor (e m_u - s_u) \, 2^{-u} \right\rfloor.$$

With the choice $u = 22$, it can be exhaustively verified that the above approximation is correct up to $|e| \le 1700$. Our reference implementation [10] contains a program verifying this.

5.5 Integer Checks

We need to check if x or z are integers. Recall that

$$x = \left(f_c - \frac{1}{4} \right) \cdot 2^e \cdot 10^{k_0} = (2^{p+2} - 1) \cdot 2^{e+k_0-2} \cdot 5^{k_0}.$$

Suppose that $2^{p+2} - 1$ is d_1 times divisible by 5.[18] Then since $2^{p+2} - 1$ is an odd number, it follows that x is an integer if and only if:

1. $e + k_0 - 2 \ge 0$, and

2. $k_0 + d_1 \ge 0$.

Using the definition $k_0 = -\left\lfloor \log_{10}(3 \cdot 2^{e-2}) \right\rfloor$, the first condition is equivalent to

$$e - 2 \ge \left\lfloor \log_{10}(3 \cdot 2^{e-2}) \right\rfloor,$$

which is again equivalent to

$$\log_{10}(3 \cdot 2^{e-2}) < e - 1.$$

Rewriting the above gives

$$(e - 2) \log_{10} 2 + \log_{10} 3 < (e - 2) + 1,$$

which is equivalent to

$$(e - 2) \log_{10} 5 > \log_{10} \frac{3}{10}.$$

Hence, it follows that $e + k_0 - 2 \ge 0$ if and only if

$$e - 2 > \log_5 \frac{3}{10},$$

which is equivalent to $e \ge 2$.

On the other hand, the second condition is equivalent to

$$\left\lfloor \log_{10}(3 \cdot 2^{e-2}) \right\rfloor \le d_1,$$

---

[18] Note that $2^{p+2} - 1$ is a multiple of 5 if and only if $p \equiv 2 \pmod 4$, which is not the case for both binary32 ($p = 23$) and binary64 ($p = 52$), so in fact $d_1 = 0$ in all cases.

so
$$\log_{10}(3 \cdot 2^{e-2}) < d_1 + 1,$$

which can be rewritten as
$$2^{e-2} < \frac{10^{d_1+1}}{3}.$$

Hence, it follows that $k_0 + d_1 \geq 0$ if and only if
$$e < 2 + \log_2 \frac{10^{d_1+1}}{3},$$

or equivalently,
$$e \leq 2 + \left\lfloor \log_2 \frac{10^{d_1+1}}{3} \right\rfloor.$$

Thus, x is an integer if and only if
$$2 \leq e \leq 2 + \left\lfloor \log_2 \frac{10^{d_1+1}}{3} \right\rfloor.$$

Similarly, since
$$z = \left(f_c + \frac{1}{2} \right) \cdot 2^e \cdot 10^{k_0} = (2^{p+1} + 1) \cdot 2^{e+k_0-1} \cdot 5^{k_0},$$

suppose that $2^{p+1} + 1$ is d_2 times divisible by 5[19], then z is an integer if and only if:

1. $e + k_0 - 1 \geq 0$, and

2. $k_0 + d_2 \geq 0$.

Again, the first condition is equivalent to
$$\log_{10}(3 \cdot 2^{e-2}) < e,$$

and by rewriting the above we get
$$(e-2)\log_{10} 2 + \log_{10} 3 < (e-2) + 2,$$

which is equivalent to
$$(e-2)\log_{10} 5 > \log_{10} \frac{3}{100}.$$

Or, equivalently,
$$e > \log_5 \frac{3}{100} + 2 = \log_5 \frac{75}{100} = \log_5 \frac{3}{4},$$

which is equivalent to $e \geq 0$.

Since there is nothing different from the case of x for the second condition other than d_1 is replaced by d_2, we get that z is an integer if and only if
$$0 \leq e \leq 2 + \left\lfloor \log_2 \frac{10^{d_2+1}}{3} \right\rfloor.$$

[19] Again $d_2 = 0$ for both binary32 ($p = 23$) and binary64 ($p = 52$).

5.6 Detecting Tie

In this section, we will show that when we search the correctly rounded integer in $10^{k_0} I \cap \mathbb{Z}$, we have tie so we need to choose between $y^{(ru)}$ and $y^{(rd)} = y^{(ru)} - 1$ if and only if
$$-p - 2 - \lfloor (p+4)\log_5 2 - \log_5 3 \rfloor \leq e$$
$$\leq -p - 2 - \lfloor (p+2)\log_5 2 \rfloor.$$

Note that tie occurs exactly when $y + \frac{1}{2}$ is an integer, or equivalently,
$$2y + 1 = 2^{p+e+1} \cdot 10^{k_0} + 1 = 2^{p+e+k_0+1} \cdot 5^{k_0} + 1$$

is an even integer. Note that this happens exactly when:

1. $p + e + k_0 + 1 = 0$, and

2. $k_0 \geq 0$.

Let us first solve the first equation. The equation can be rewritten as
$$p + e + 1 = \left\lfloor \log_{10}(3 \cdot 2^{e-2}) \right\rfloor,$$

which is equivalent to the inequality
$$p + e + 1 \leq \log_{10}(3 \cdot 2^{e-2}) < p + e + 2.$$

We can rewrite this inequality as
$$10^{p+3} \cdot 10^{e-2} \leq 3 \cdot 2^{e-2} < 10^{p+4} \cdot 10^{e-2},$$

which is equivalent to
$$10^{p+3} \cdot 5^{e-2} \leq 3 < 10^{p+4} \cdot 5^{e-2},$$

or,
$$3 \cdot 10^{-p-4} < 5^{e-2} \leq 3 \cdot 10^{-p-3}.$$

Taking log, we get
$$-p - 4 - (p+4)\log_5 2 + \log_5 3 < e - 2$$
$$\leq -p - 3 - (p+3)\log_5 2 + \log_5 3,$$

or equivalently,
$$-p - 2 - ((p+4)\log_5 2 - \log_5 3) < e$$
$$\leq -p - 1 - ((p+3)\log_5 2 - \log_5 3).$$

On the other hand, the second condition $k_0 \geq 0$ is equivalent to $e \leq 3$ (specialize the arguments in Section 5.5 with $d_1 = 0$), which is always true if
$$e \leq -p - 1 - ((p+3)\log_5 2 - \log_5 3)$$

whenever $p \geq 0$, hence, $y + \frac{1}{2}$ is an integer if and only if
$$-p - 2 - \lfloor (p+4)\log_5 2 - \log_5 3 \rfloor \leq e$$
$$\leq -p - 2 - \lfloor (p+3)\log_5 2 - \log_5 3 \rfloor.$$

We will show in Section 5.7 that $y^{(ru)}$ is always upper bounded by $\tilde{z}^{(i)}$. Note that if we have $y^{(rd)} \notin 10^{k_0}I$, then it is wiser to consider the case not as a tie because $y^{(rd)}$ is no longer a valid choice. Thus, we will now derive an equivalent condition for having $y^{(rd)} \geq \tilde{x}^{(i)}$, which then automatically implies $y^{(ru)}, y^{(rd)} \in 10^{k_0}I$ as $y^{(rd)} \leq y^{(ru)} \leq \tilde{z}^{(i)}$.

Assuming we have tie so that $y - \frac{1}{2}$ is an integer, we have $y^{(rd)} < \tilde{x}^{(i)}$ if and only if

$$y - \frac{1}{2} < x \quad \text{or} \quad y - \frac{1}{2} \leq x,$$

depending on the rounding rule. In fact, since $y - \frac{1}{2}$ is assumed to be an integer, we have $p + e + k_0 + 1 = 0$, and as explained in Section 5.5, x is an integer only if $e + k_0 - 2 \geq 0$, which is not the case because

$$e + k_0 - 2 = -p - 3 < 0.$$

Hence, since $y - \frac{1}{2}$ is an integer and x is not an integer, above two inequalities have no difference, so let us work with

$$y - \frac{1}{2} < x$$

for simplicity. Using the definitions of x and y, the above inequality can be written as

$$2^{p+e} \cdot 10^{k_0} - \frac{1}{2} < \left(2^p - \frac{1}{4}\right) \cdot 2^e \cdot 10^{k_0}.$$

Rewriting the above, we get

$$\frac{1}{4} \cdot 2^e \cdot 10^{k_0} < \frac{1}{2}.$$

Since we have assumed $p + e + k_0 + 1 = 0$, we have

$$k_0 = -p - e - 1,$$

so the inequality can be rewritten as

$$2^e \cdot 10^{-p-e-1} < 2,$$

or equivalently,

$$5^{p+e+1} > 2^{-p-2}.$$

Taking log, we get

$$e + p + 1 > -(p+2)\log_5 2,$$

thus

$$e > -p - 1 - (p+2)\log_5 2.$$

Note that the above bound

$$-p - 1 - (p+2)\log_5 2$$

is strictly less than the bound

$$-p - 1 - ((p+3)\log_5 2 - \log_5 3).$$

Hence, more strict equivalent condition for having tie is

$$-p - 2 - \lfloor (p+4)\log_5 2 - \log_5 3 \rfloor \leq e$$
$$\leq -p - 2 - \lfloor (p+2)\log_5 2 \rfloor,$$

and when this is the case, we do not need to worry about the case of having $y^{(rd)} \notin 10^{k_0}I$.

5.7 Some Facts about Correct Rounding

In this section, we will show the following things:

1. We always have $y^{(ru)} \leq \tilde{z}^{(i)}$.

2. Whenever $y^{(ru)} \notin 10^{k_0}I$, the integer in $10^{k_0}I$ that is closest to y is $y^{(ru)} + 1$.

The consequence is that, we can check if $y^{(ru)} \notin 10^{k_0}I$ only by checking if $y^{(ru)} < \tilde{x}^{(i)}$, and if that happens, we just need to increase $y^{(ru)}$ by one.

To show the first claim, note that

$$y^{(ru)} \leq y + \frac{1}{2} = z - (z - y) + \frac{1}{2},$$

and

$$z - y = \frac{2\delta}{3}.$$

Recall from (1) that

$$10^{-k_0} \leq \Delta < 10^{-k_0+1},$$

so $\delta := \Delta \cdot 10^{k_0}$ satisfies

$$1 \leq \delta < 10.$$

Hence,

$$y^{(ru)} \leq z + \frac{1}{2} - \frac{2\delta}{3} \leq z + \frac{1}{2} - \frac{2}{3} = z - \frac{1}{6}.$$

Therefore, $y^{(ru)}$ must be at most $\tilde{z}^{(i)}$.

To show the second claim, suppose $y^{(ru)} \notin 10^{k_0}I$. Then by the first claim, we must have $y^{(ru)} \leq x$. Then,

$$y^{(ru)} + 1 \leq x + 1 = z + 1 - \delta,$$

and again since $\delta \geq 1$, we get

$$y^{(ru)} + 1 \leq z.$$

In fact, the inequality should be strict; otherwise, we should have $\delta = 1$, which is impossible since

$$\delta = \Delta \cdot 10^{k_0} = 3 \cdot 2^{e-2} \cdot 10^{k_0}$$

and there is no way to cancel out the factor 3. On the other hand, note that

$$y^{(ru)} = \left\lfloor y + \frac{1}{2} \right\rfloor > y - \frac{1}{2},$$

so

$$y^{(ru)} + 1 > y + \frac{1}{2} > x.$$

Therefore, we always have

$$x < y^{(ru)} + 1 < z$$

if $y^{(ru)} \notin 10^{k_0}I$. Note that in this case, since we have $y^{(ru)} \leq x < y$ and $y^{(ru)}$ is equal to either $\lfloor y \rfloor$ or $\lfloor y \rfloor + 1$, it follows that $y^{(ru)} = \lfloor y \rfloor$. Hence, we conclude that $\lfloor y \rfloor$ is not in $10^{k_0}I$ while $\lfloor y \rfloor + 1 = y^{(ru)} + 1$ is in $10^{k_0}I$, thus $y^{(ru)} + 1$ must be the integer inside $10^{k_0}I$ that is closest to y. Therefore, the second claim is also proven.

6. Sufficiency of Cache Precision

We use following lemmas from [4], originally presented in [5], to show that $Q = 2q$ is sufficient to guarantee

$$\lfloor v \cdot 10^k \rfloor = \lfloor v \cdot \tilde{\varphi}_k \cdot 2^{e_k} \rfloor,$$

where

$$\tilde{\varphi}_k = \begin{cases} \lfloor \varphi_k \rfloor & \text{if } k \geq 0 \\ \lfloor \varphi_k \rfloor + 1 & \text{if } k < 0 \end{cases}$$

and v is a number of the form $v = g \cdot 2^b$ for some positive integer g in a certain range and an integer b in a certain range:

Lemma 6.1 (Adams, 2018).
Let k be a nonnegative integer, b an integer, and g a positive integer. Then for any integer u satisfying

$$u > b + \log_2 \frac{5^k g}{5^k - (2^b g \bmod 5^k)},$$

we have

$$\left\lfloor \frac{g \cdot 2^b}{5^k} \right\rfloor = \left\lfloor g \cdot 2^{b-u} \left(\left\lfloor \frac{2^u}{5^k} \right\rfloor + 1 \right) \right\rfloor.$$

Lemma 6.2 (Adams, 2018).
Let k be a nonnegative integer, b an integer, and g a positive integer. Then for any integer l satisfying

$$l \leq \log_2 \max \left\{ 1, \frac{5^k g \bmod 2^b}{g} \right\},$$

we have

$$\left\lfloor \frac{g \cdot 5^k}{2^b} \right\rfloor = \left\lfloor g \cdot 2^{l-b} \left\lfloor \frac{5^k}{2^l} \right\rfloor \right\rfloor.$$

For the proofs of these lemmas, one can see [5] or [4].

6.1 Case I: Normal Interval Case, $k \geq 0$

Consider the case $k \geq 0$ for the normal interval case. In this case, it suffices to guarantee

$$\lfloor g \cdot 2^{e-1} \cdot 10^k \rfloor = \lfloor g \cdot 2^{e-1} \cdot \tilde{\varphi}_k \cdot 2^{e_k} \rfloor$$

when $g \in [1, 2^{p+2} - 1]$ and $e \in [E_{\min} - p, e_0]$, where we define e_0 as the maximum e such that

$$k = -\lfloor \log_{10} 2^e \rfloor + \kappa \geq 0.$$

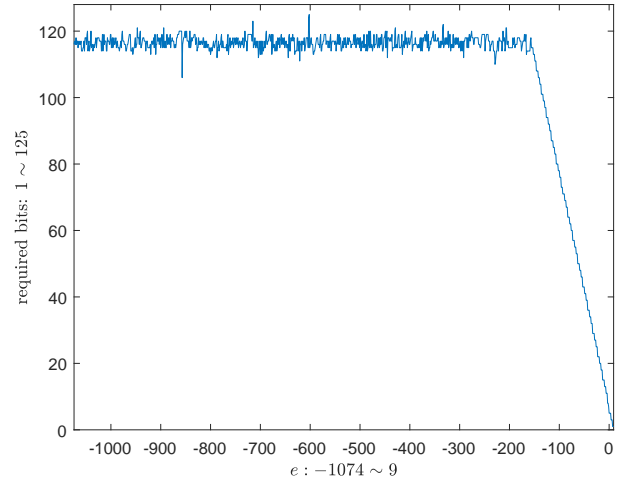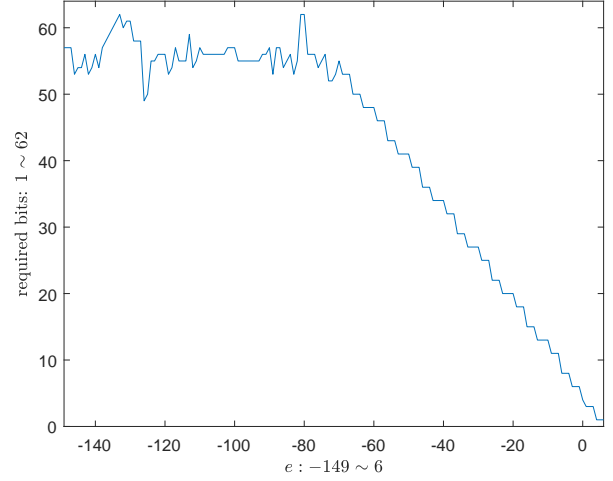As we have seen in Section 4.6,

$$e_0 = \lfloor (\kappa + 1) \log_2 10 \rfloor.$$

We want to take l so that

$$\tilde{\varphi}_k = \left\lfloor \frac{5^k}{2^l} \right\rfloor \in [2^{Q-1}, 2^Q).$$

This can be easily seen to be equivalent to

$$l = e_k - k = \lfloor k \log_2 10 \rfloor - Q + 1 - k,$$

Figure 5. Lower bounds on Q for each e with $k \geq 0$ (top: binary32, bitton: binary64); the maximum value is 62 for binary32, 125 for binary64.

where the second inequality follows from (2). What we want to have is then the equality
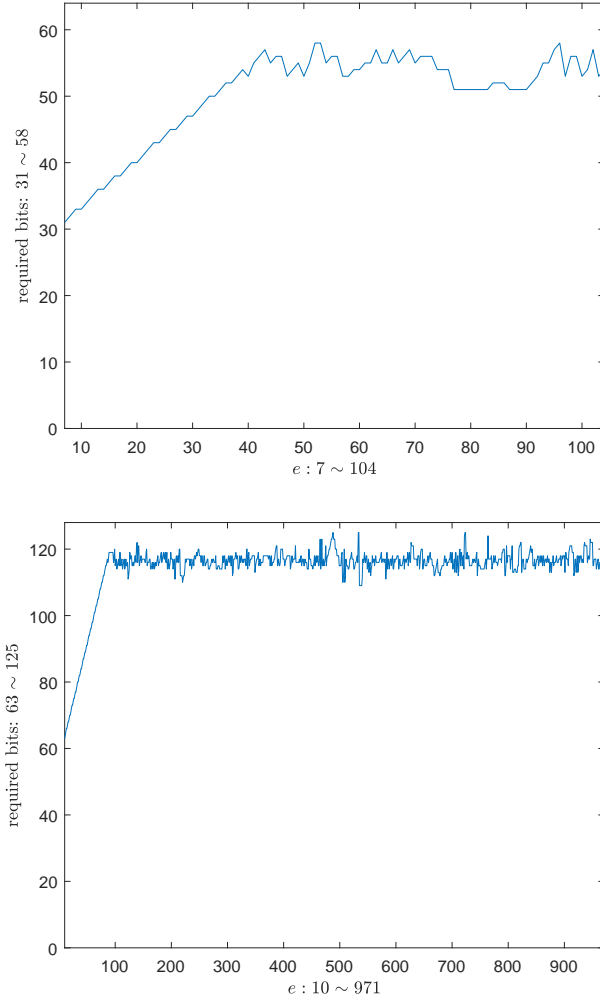
$$\left\lfloor \frac{g \cdot 5^k}{2^{-e-k+1}} \right\rfloor = \lfloor g \cdot 2^{l+e+k-1} \cdot \tilde{\varphi}_k \rfloor,$$

and in order to have that, it suffices to have the inequality

$$\lfloor k \log_2 10 \rfloor - Q + 1 - k$$
$$\leq \log_2 \max \left\{ 1, \frac{5^k g \bmod 2^{-e-k+1}}{g} \right\},$$

or equivalently,

$$Q \geq \lfloor k \log_2 10 \rfloor - k + 1$$
$$- \log_2 \max \left\{ 1, \frac{5^k g \bmod 2^{-e-k+1}}{g} \right\},$$

Figure 6. Lower bounds on Q for each e with $k < 0$ (top: binary32, bitton: binary64); the maximum value is 58 for binary32, 125 for binary64.

thanks to Lemma 6.2.

For each e and

$$k = -\lfloor \log_{10} 2^e \rfloor + \kappa,$$

we can obtain the minimum possible value of

$$5^k g \bmod 2^{-e-k+1}$$

using the improved min-max Euclid algorithm described in [4], Section 4.3, which is copied to Appendix C of this paper for convenience to readers. Let us call that minimum value m (note that when $-b - k \leq 0$, we have $m = 0$). Then, we can obtain

$$\lfloor k \log_2 10 \rfloor - k + 1 - \left\lfloor \log_2 \max \left\{ 1, \frac{m}{2^{p+2} - 1} \right\} \right\rfloor,$$

which is a sufficient lower bound of Q. It can be then explicitly verified that for all possible values of e, the above lower bound does not exceed $2q$, thus $Q = 2q$ is sufficient, as shown in Figure 5.

6.2 Case II: Normal Interval Case, $k < 0$

Consider the case $k < 0$ for the normal interval case. Again, it suffices to guarantee

$$\left\lfloor g \cdot 2^{e-1} \cdot 10^k \right\rfloor = \left\lfloor g \cdot 2^{e-1} \cdot \tilde{\varphi}_k \cdot 2^{e_k} \right\rfloor$$

when $g \in [1, 2^{p+2} - 1]$ and $e \in [e_0 + 1, E_{\max} - p]$.

We want to take u so that

$$\tilde{\varphi}_k = \left\lfloor \frac{2^u}{5^{-k}} \right\rfloor + 1 \in [2^{Q-1}, 2^Q),$$

which is equivalent to

$$u = k - e_k = k - \lfloor k \log_2 10 \rfloor + Q - 1.$$

What we want to have is then the equality

$$\left\lfloor \frac{g \cdot 2^{e+k-1}}{5^{-k}} \right\rfloor = \left\lfloor g \cdot 2^{e+k-1-u} \cdot \tilde{\varphi}_k \right\rfloor,$$

and in order to have that, it suffices to have the inequality

$$k - \lfloor k \log_2 10 \rfloor + Q - 1$$
$$> e + k - 1 + \log_2 \frac{5^{-k} g}{5^{-k} - (2^{e+k-1} g \bmod 5^{-k})},$$

or equivalently,

$$Q > e + \lfloor k \log_2 10 \rfloor$$
$$+ \log_2 \frac{5^{-k} g}{5^{-k} - (2^{e+k-1} g \bmod 5^{-k})},$$

thanks to Lemma 6.1.

For each e and

$$k = -\lfloor \log_{10} 2^e \rfloor + \kappa,$$

we can obtain the maximum possible value of

$$2^{e+k-1} g \bmod 5^{-k}$$

using the improved min-max Euclid algorithm described in [4] or Appendix C of this paper. Let us call that maximum value M. Then, we can obtain

$$e + \lfloor k \log_2 10 \rfloor + \left\lfloor \log_2 \frac{5^{-k} g}{5^{-k} - M} \right\rfloor + 1,$$

which is a sufficient lower bound of Q. It can be then explicitly verified that for all possible values of e, the above lower bound does not exceed $2q$, thus $Q = 2q$ is sufficient, as shown in Figure 6.

6.3 Case III: Shorter Interval Case

For the shorter interval case, we can apply the same idea to show that the computations

$$x^{(i)} = \left\lfloor (2^{p+2} - 1) \cdot \tilde{\varphi}_{k_0} \cdot 2^{-Q} \cdot 2^{\beta-2} \right\rfloor$$

and

$$z^{(i)} = \left\lfloor (2^{p+2} + 2) \cdot \tilde{\varphi}_{k_0} \cdot 2^{-Q} \cdot 2^{\beta-2} \right\rfloor$$

are exact, if $Q = 2q$. Our reference implementation [10] also includes a verification program for this.

7. Performance

We compared the performance of Dragonbox with Grisu-Exact [12] and Ryū [11], for the task of producing a decimal string representation of a given floating-point number. The source code for the benchmark is available in [10].

We did two set of benchmarks. The first set is testing floating-point numbers with the given number of decimal digits. (See Figure 7.) Since it is not easy to uniformly randomly generate such floating-point numbers, we first uniformly randomly generated an integer with the given number of digits, combined it with a uniformly randomly generated exponent in the valid decimal exponent range and a uniformly randomly generated sign, converted the result into a string, and then converted it back to a floating-point number. If the resulting string does not fall in the valid range or if there exists a shorter representation of the same floating-point number, then we discarded he number and repeated the procedure. Although this will not give us the uniform distribution as the probability of collision will not be uniform, one may nonethelessly claim that this will give a reasonable approximation. We generated $100,000$ samples per each number of digits, and measured the time elapsed for repeating the string generation $1,000$ times for each sample.

The second set is testing uniformly randomly generated floating-point numbers. (See Figure 8.) For this benchmark, we generated $1,000,000$ samples and measured the time elapsed for repeating the task $1,000$ times for each sample. Since $1,000,000$ samples are too many to make a visible plot, we randomly sampled $10,000$ among them for the plot shown in Figure 8. The statistics attached on the plot is drawn from all of $1,000,000$ samples.

The benchmark data is obtained on a machine with Intel (R) Core™ i7-7700HQ CPU @2.80GHz, and the benchmark code is compiled with Clang-cl compiler shipped with Visual Studio 2019 16.7.2.

We also have benchmarked our reference implementation [10] against a C++ implementation of Schubfach [7]. Since the Schubfach implementation we benchmarked does not remove trailing decimal zeros, we also used a version of Dragonbox implementation that does not remove trailing decimal zeros. Other details for this benchmark is same as above. See Figure 9 and Figure 10.

In our benchmarks, Dragonbox performed better than the competitors for all number of digits and also for the uniformly random data.

A. Right-Closed Directed Rounding Case

In this section, we describe the algorithm for the case when the interval I is given as

$$I = (w^-, w].$$

In this case, there are not so much differences between the normal interval case and the shorter interval case, so we will not treat them differently. One thing to note for this case is that when we know that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty, we just need to find the greatest integer from $10^{k_0}I$, which can be done directly by just adding the quotient of r divided by 10^κ to $10s$. Besides those, there are not so much differences from the nearest rounding case. Here is the skeleton:

Algorithm A.1 (Skeleton of Dragonbox, Right-Closed Directed Rounding Case).

1. Compute $k = -\lfloor \log_{10} \Delta \rfloor + \kappa$ as described in Section 4.5. But in this case, we need to be careful that $\Delta = 2^{e-1}$ if $F_w = 1$ and $E \neq E_{\min}$, and $\Delta = 2^e$ otherwise.
2. Compute $z^{(i)}$, as described in Section 4.2
3. Compute s, r by dividing $z^{(i)}$ by $10^{\kappa+1}$ with the optimization described in Section 4.7.
4. Compute $\delta^{(i)}$ as described in Section 4.4. But in this case, again we need to take care of the presence of the shorter interval case. The only difference is, however, that we need to shift by one less amount of bits, compared to the normal interval case.
5. Check if the inequality $r > \delta^{(i)}$ holds. If that is the case, then we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.
6. Otherwise, check if the inequality $r < \delta^{(i)}$ holds. If that is the case, then we conclude that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$.
7. Otherwise, we have $r = \delta^{(i)}$. Then, compute the parity of $x^{(i)}$, as described in Section 4.3. Again, we need to take care of the presence of the closer interval case, since we have
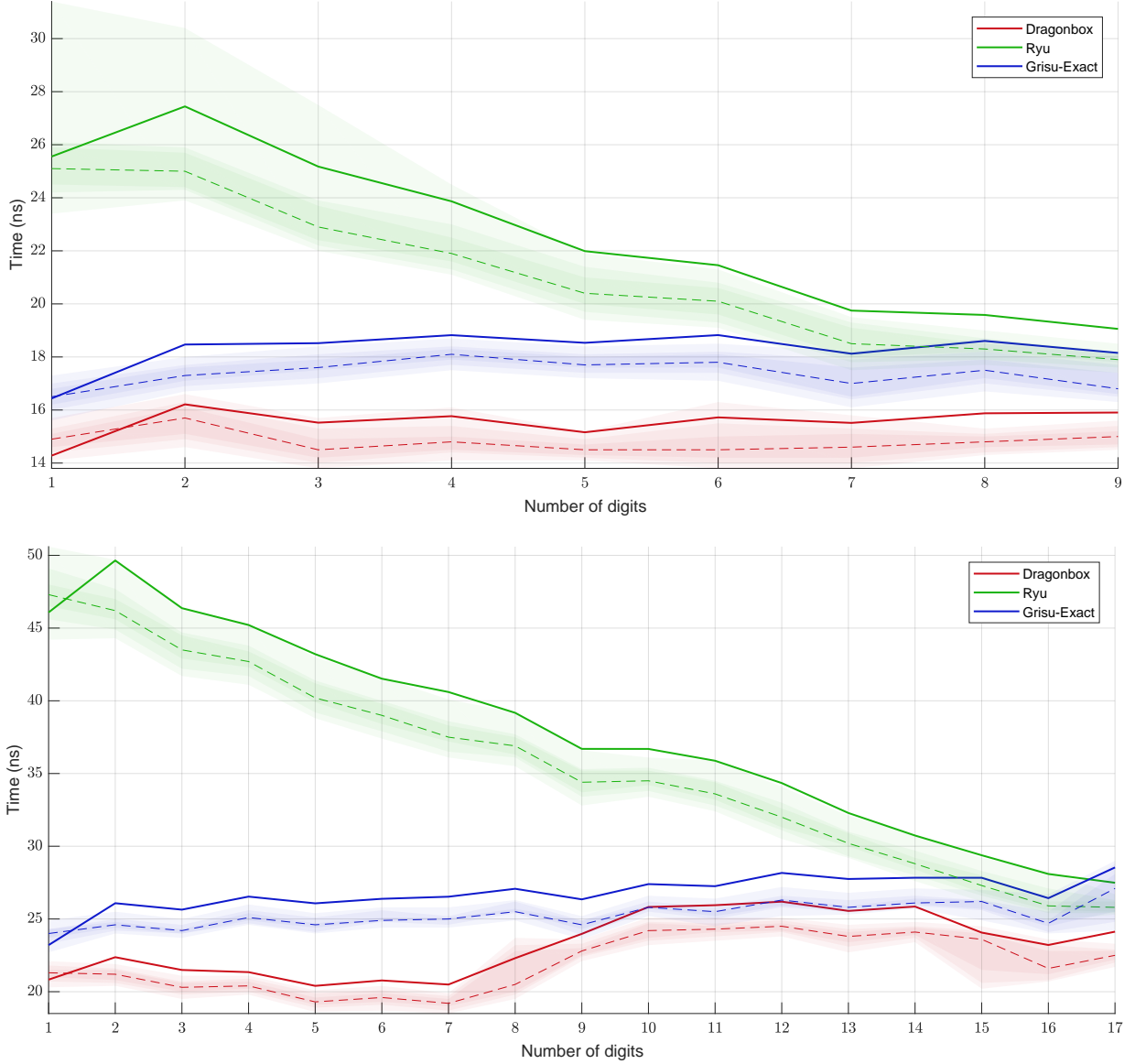
$$x = (f_c - 1) \cdot 2^e \cdot 10^k = (2f_c - 2) \cdot 2^{e-1} \cdot 10^k$$

for the normal interval case but we have

$$x = \left(f_c - \frac{1}{2} \right) \cdot 2^e \cdot 10^k = (2f_c - 1) \cdot 2^{e-1} \cdot 10^k$$

for the shorter interval case.
   - If $x^{(i)}$ is an odd number, then we have $z^{(f)} < \delta^{(f)}$, so we conclude that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$.
   - Otherwise, we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.
8. When we have concluded that $10^{-k+\kappa+1}s$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$, then we might need to remove

Figure 7. Performances of Dragonbox, Ryū, and Grisu-Exact for random floating-point numbers with given number of digits; solid lines are averages, dashed lines are medians, and shaded regions show 30%, 50%, and 70% percentiles. (top: binary32, bottom: binary64)

trailing zeros from s. Find the greatest integer d such that 10^d divides s. Then we conclude that

$$\frac{s}{10^d} \times 10^{-k+\kappa+1+d}$$

is the answer we are looking for.

9. When we have concluded that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty, then $(10s + t) \times 10^{-k+\kappa}$ is the answer we are looking for, where $t := \left\lfloor \frac{r}{10^\kappa} \right\rfloor$.
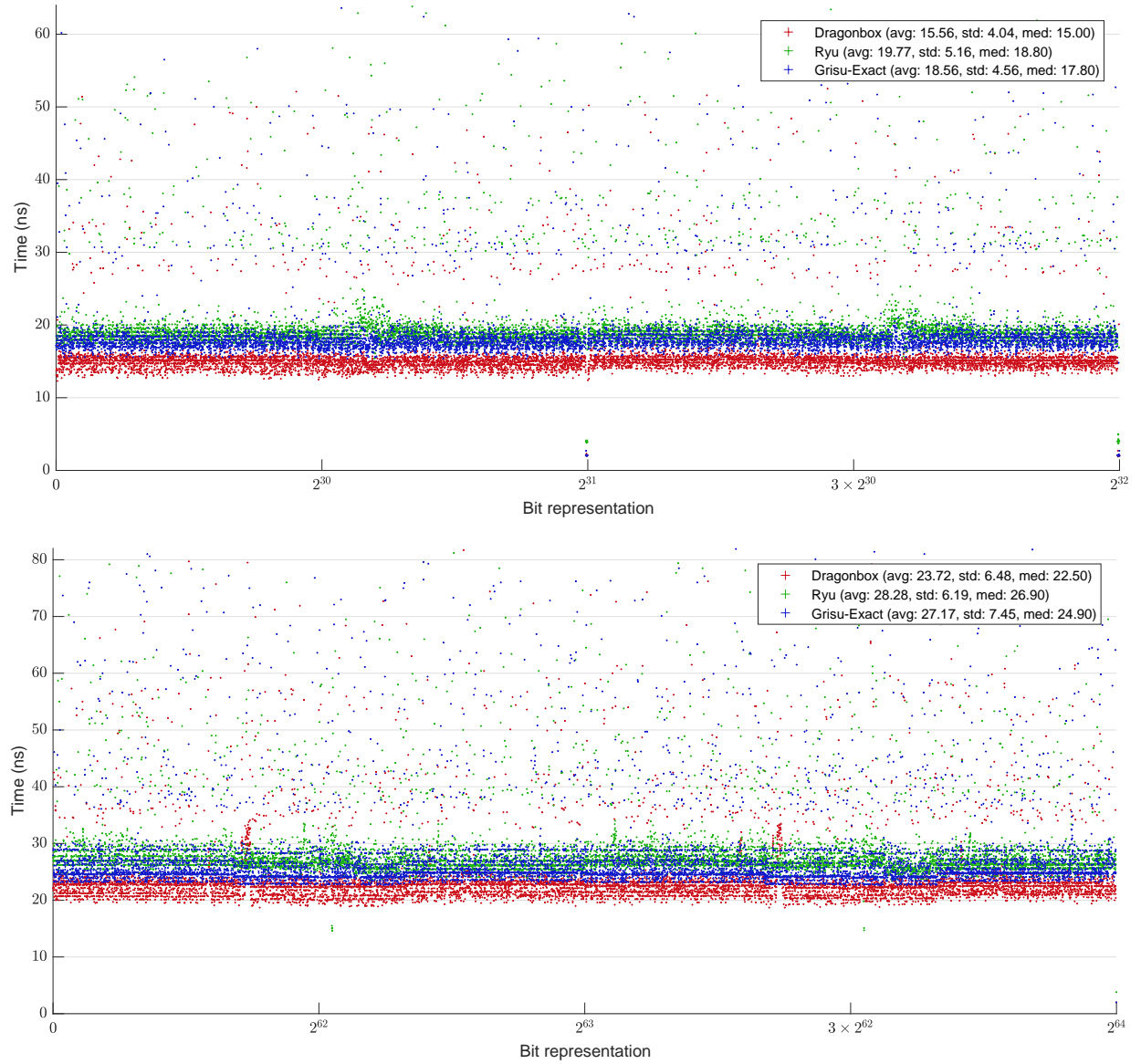
B. Left-Closed Directed Rounding Case

In this section, we describe the algorithm for the case when the interval I is given as

$$I = [w, w^+).$$

In this case, the strategy is to take the mirror image of the algorithm explained in Section A. This is a little bit more complex than the right-closed directed rounding case, but a good thing is that we do not have the shorter interval case; we always have $\Delta = 2^e$ and $w^+ = (f_c + 1) \cdot 2^e$. Here is the skeleton:

Figure 8. Performances of Dragonbox, Ryū, and Grisu-Exact for uniform random floating-point numbers (top: binary32, bottom: binary64)

Algorithm B.1 (Skeleton of Dragonbox, Left-Closed Directed Rounding Case).

1. Compute $k = -\lfloor \log_{10} \Delta \rfloor + \kappa$ as described in Section 4.5.
2. Compute $x^{(i)}$, as described in Section 4.2. Note that we can still apply the completely same routine to x rather than z.
3. Check if x is an integer; define

$$\tilde{x}^{(i)} = \begin{cases} x^{(i)} & \text{if } x \text{ is an integer} \\ x^{(i)} + 1 & \text{if } x \text{ is not an integer} \end{cases}.$$

Note that $\tilde{x}^{(i)}$ is nothing but the ceiling of x. To check if $x = y$ is an integer, we can apply the method described in Section 4.6, more specifically, Lemma 4.5.
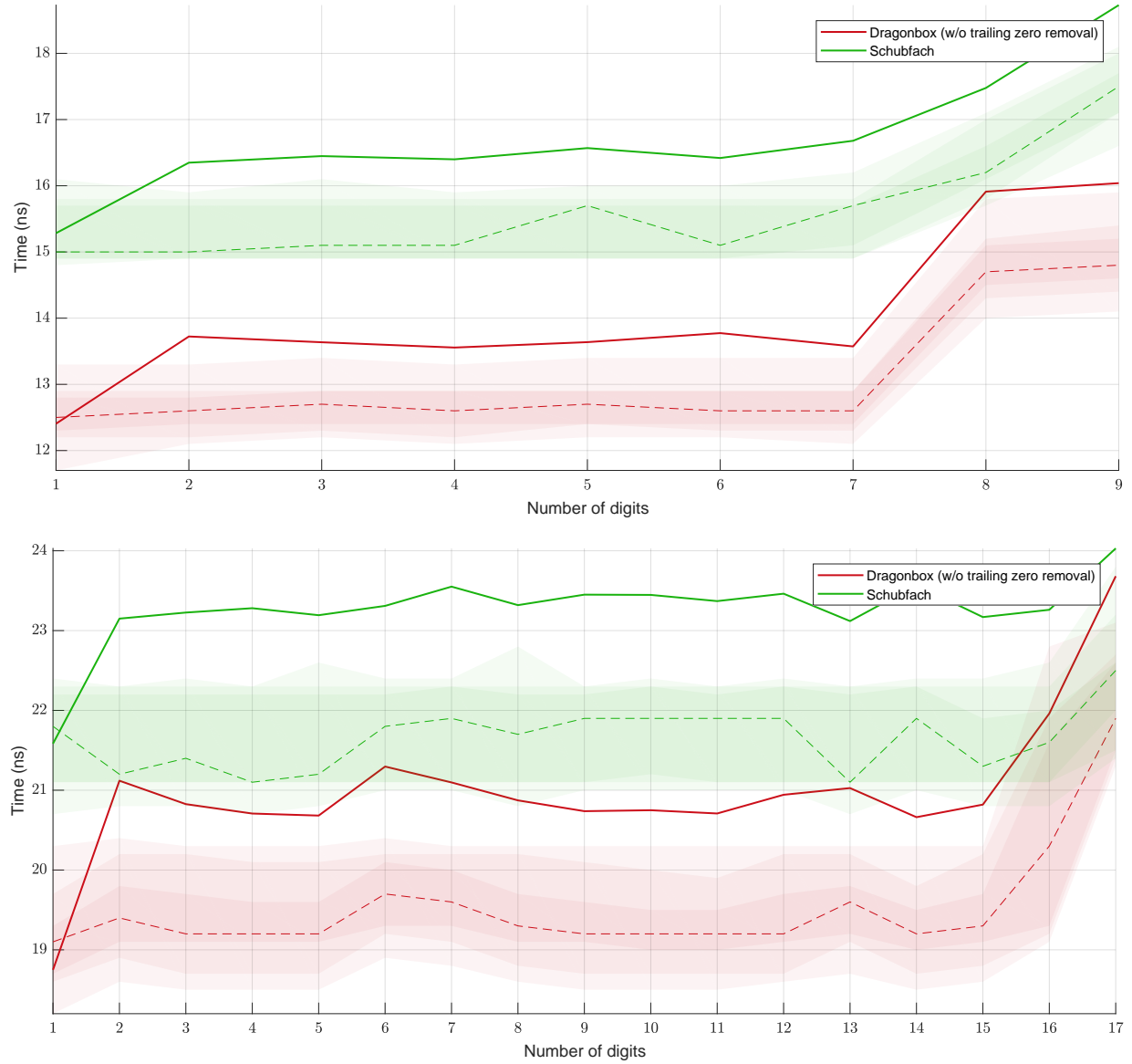
4. Compute the unique integers $\tilde{s}, \tilde{r}$ satisfying

$$\tilde{x}^{(i)} = 10^{\kappa+1}\tilde{s} - \tilde{r}, \quad 0 \le \tilde{r} < 10^{\kappa+1}.$$

This requires a little modification to the plain division:

$$\tilde{s} = \begin{cases} \left\lfloor \dfrac{\tilde{x}^{(i)}}{10^{\kappa+1}} \right\rfloor & \text{if } 10^{\kappa+1} \text{ divides } \tilde{x}^{(i)} \\ \left\lfloor \dfrac{\tilde{x}^{(i)}}{10^{\kappa+1}} \right\rfloor + 1 & \text{otherwise} \end{cases}.$$

(This is again nothing but the ceiling.) The optimization described in Section 4.7 still applies.
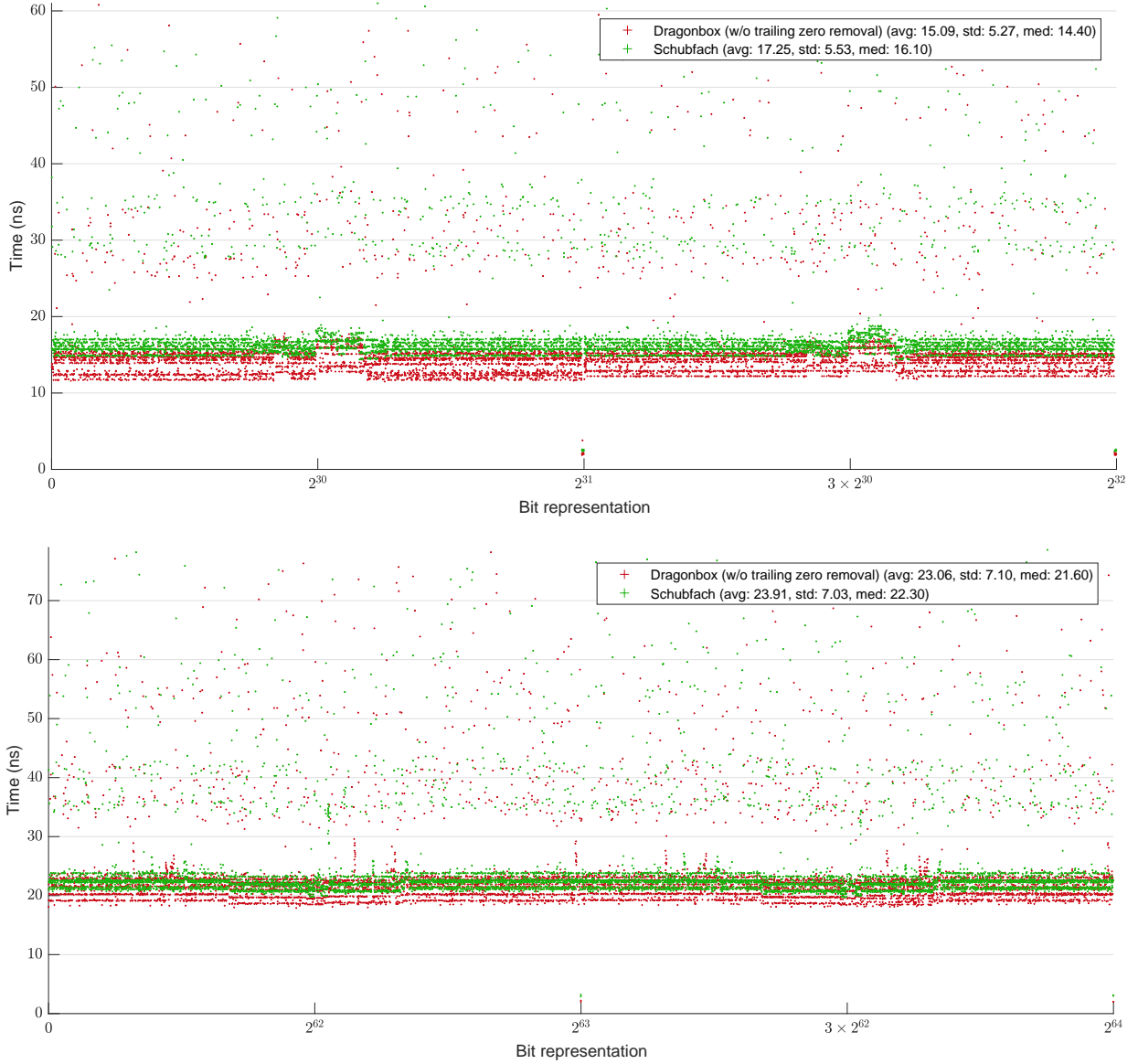
Figure 9. Performances of Dragonbox and Schubfach without trailing zero removal for random floating-point numbers with given number of digits; solid lines are averages, dashed lines are medians, and shaded regions show 30%, 50%, and 70% percentiles. (top: binary32, bottom: binary64)

5. Compute $\delta^{(i)}$ as described in Section 4.4.

6. Check if the inequality $r > \delta^{(i)}$ holds. If that is the case, then we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.

7. Otherwise, check if the inequality $r < \delta^{(i)}$ holds. If that is the case, then we conclude that $10^{-k+\kappa+1}\tilde{s}$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$.

8. Otherwise, we have $r = \delta^{(i)}$. Then, compute the parity of $z^{(i)}$, as described in Section 4.3. Again, no further modification is needed and we can just apply what is described in 4.3 to $z^{(i)}$ as well.

   • If $z^{(i)}$ is an odd number, then $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.

   • If $z^{(i)}$ is an even number, then check if z is an integer. Again, we can apply Lemma 4.5 here. If that is the case, then we conclude that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty. Otherwise, we conclude that $10^{-k+\kappa+1}\tilde{s}$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$.

9. When we have concluded that $10^{-k+\kappa+1}\tilde{s}$ is the unique element in $I \cap 10^{-k_0+1}\mathbb{Z}$, then we might need to remove trailing zeros from $\tilde{s}$. Find the greatest integer d such that 10^d divides $\tilde{s}$. Then we conclude that

$$\frac{\tilde{s}}{10^d} \times 10^{-k+\kappa+1+d}$$

is the answer we are looking for.

Figure 10. Performances of Dragonbox and Schubfach without trailing zero removal for uniformly random floating-point numbers (top: binary32, bottom: binary64)

10. When we have concluded that $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty, then $(10\tilde{s} - t) \times 10^{-k+\kappa}$ is the answer we are looking for, where $t := \left\lfloor \frac{\tilde{r}}{10^\kappa} \right\rfloor$.

To elaborate more on the step 8, let us define

$$\tilde{x}^{(f)} := \tilde{x}^{(i)} - x,$$

then $0 \le \tilde{x}^{(f)} < 1$. Then

$$z^{(i)} + z^{(f)} = x + \delta = \tilde{x}^{(i)} + \delta^{(i)} + (\delta^{(f)} - \tilde{x}^{(f)}).$$

Now, if $\delta^{(i)} = \tilde{r}$, then

$$z^{(i)} + z^{(f)} = 10^{\kappa+1}\tilde{s} + (\delta^{(f)} - \tilde{x}^{(f)}),$$

thus $z^{(i)}$ is an odd number if and only if $\tilde{x}^{(f)} > \delta^{(f)}$. In this case, we have that $\tilde{s}$ is not in $10^{k_0-1}I$, so $I \cap 10^{-k_0+1}\mathbb{Z}$ is empty.

When $z^{(i)}$ is an even number, then $\tilde{x}^{(f)} \le \delta^{(f)}$. In this case, we have $\tilde{s} \in 10^{k_0-1}I$ if and only if $\tilde{x}^{(f)} > \delta^{(f)}$, so we need to check if $\tilde{x}^{(f)} = \delta^{(f)}$, which is the case if and only if z is an integer.

C. Improved Min-Max Euclid Algorithm

This section is copied from [4], Section 4.3, to make this paper more self-contained.

In order to compute lower bounds given in Section 6, we need to compute the minimum and the maximum of numbers

of the form

$$ag \bmod b$$

where a, b are powers of 2 or 5, and g runs over a range $[1, N] \cap \mathbb{Z}$. Since N is very large ($\sim 2^{25}$ or $\sim 2^{54}$), it is computationally too heavy to compute the minimum and the maximum directly. To resolve this issue, [5] introduced a nice algorithm, which the author called *min-max Euclid algorithm*, to compute conservative bounds on these values.

In [4], we proposed a variant of this algorithm which runs much faster than the original one, yet returning the exact minimum and maximum.[20] In our machine, the cache length verification program included in the reference implementation [10] runs in less than a second without any optimization enabled.

A pseudocode for our algorithm is given in Figure 11. The basic idea of the algorithm can be explained as follows. For simplicity, let us assume $a < b$ and $\gcd(a, b) = 1$. (The algorithm is still correct without these assumptions.) First, note that if $g \leq \lfloor \frac{b}{a} \rfloor$, then $ag \bmod b$ monotonically increases as g increases. Now, when g becomes $\lfloor \frac{b}{a} \rfloor + 1$, we have $ag \bmod b = ag - b$, that is, we wrap around to come back to 0 and go a little further. After that, $ag \bmod b$ will keep increasing until we need to wrap around again. Note that, if we have proceeded $\lfloor \frac{b}{a} \rfloor$ more steps, the distance between the right boundary (b) and the current number ($ag \bmod b$) should be the twice of that for the first round, which is $b \bmod a$. If the distance $2(b \bmod a)$ is still less than a, the maximum value is untouched until the next round. The maximum value is finally touched after k rounds when $k(b \bmod a)$ is now greater than or equal to a. Therefore, after the first round, the number of steps required to update the new maximum value is much longer, and this required number of steps keeps increasing after each of the updates in the same manner.

Of course, a similar thing happens for the minimum value. After the first round, the minimum value becomes $a - (b \bmod a)$. After k following rounds, the minimum value will keep decreasing until $a - k(b \bmod a)$ becomes smaller than $(b \bmod a)$. Since then, the minimum value will not change for a long time.

Let us analyze the situation more precisely. We do not assume $a < b$ nor $\gcd(a, b) = 1$ from now on. Inductively define a_i, b_i as $a_0 := a$, $b_0 := b$, and

$$a_{i+1} := a_i - p_i b_{i+1}, \quad b_{i+1} := b_i - q_i a_i$$

---

[20] In fact, in [4] we claimed that the proof of the original algorithm is not entirely correct. For example, [5] claims that $a \leq (-a \bmod b)$, which is of course not true when $a > b/2$. It seems that claims about negative multiples in general have some problem. The algorithm itself, as written, is also not correct; for example, if $(a, b, N) = (3, 8, 7)$, the output of the algorithm is that the minimum is 1 while the maximum is 0, which is of course a nonsense. This is probably related to mistakes in the proof, and our improved algorithm does not have such an issue.

```
1   // a, b, N are positive integers
2   // Returns: (minimum,maximum)
3   minmax_euclid(a, b, N) {
4       a_i ← a, b_i ← b
5       s_i ← 1, u_i ← 0
6       while (true) {
7           q_i ← ⌈b_i/a_i⌉ − 1
8           b_{i+1} ← b_i − q_i a_i
9           u_{i+1} ← u_i + q_i s_i
10
11          if (N < u_{i+1}) {
12              k ← ⌊(N−u_i)/s_i⌋
13              return (a_i, b − b_i + k a_i)
14          }
15
16          p_i ← ⌈a_i/b_{i+1}⌉ − 1
17          a_{i+1} ← a_i − p_i b_{i+1}
18          s_{i+1} ← s_i + p_i u_{i+1}
19
20          if (N < s_{i+1}) {
21              k ← ⌊(N−s_i)/u_{i+1}⌋
22              return (a_i − k b_{i+1}, b − b_{i+1})
23          }
24
25          if (b_{i+1} = b_i and a_{i+1} = a_i) {
26              if (N < s_{i+1} + u_{i+1}) {
27                  return (a_{i+1}, b − b_{i+1})
28              }
29          } else {
30              return (0, b − b_{i+1})
31          }
32          }
33
34          b_i ← b_{i+1}, u_i ← u_{i+1}
35          a_i ← a_{i+1}, s_i ← s_{i+1}
36      }
37  }
```

Figure 11. Improved min-max Euclid algorithm

where

$$p_i := \left\lceil \frac{a_i}{b_{i+1}} \right\rceil - 1, \quad q_i := \left\lceil \frac{b_i}{a_i} \right\rceil - 1.$$

We also inductively define s_i, t_i, u_i, v_i as $s_0 = 1$, $t_0 = 0$, $u_0 = 0$, $v_0 = 1$, and

$$\begin{cases} s_{i+1} = s_i + p_i u_{i+1} \\ t_{i+1} = t_i + p_i v_{i+1} \end{cases}, \quad \begin{cases} u_{i+1} = u_i + q_i s_i \\ v_{i+1} = v_i + q_i t_i \end{cases}.$$

The followings are well-known facts about extended Euclidean algorithm:

Fact 1. $\gcd(a_i, b_i) = \gcd(a_i, b_{i+1}) = \gcd(a_{i+1}, b_{i+1})$ for all i.

Fact 2. The sequence of a_i's and b_i's are strictly decreasing until one of them reaches to $d := \gcd(a, b)$, except possibly for b_0 and b_1; more precisely, we have

$$d \leq \cdots < a_{i+1} < b_{i+1} < a_i < \cdots < b_1 < a_0$$

and if $b_i = d$ for some i, then $a_i = d$, and if $a_i = d$ for some i, then $b_{i+1} = d$.

Fact 3. s_i, t_i, u_i, v_i are the smallest nonnegative numbers satisfying the relation

$$as_i - bt_i = a_i, \quad bv_i - au_i = b_i. \quad (7)$$

The first fact follows trivially from the definition. The second fact is also an easy conclusion from the definition. For the third fact, it is easy to verify using the induction that the relation is true for all i, and to show that s_i, t_i, u_i, v_i are the smallest nonnegative integers satisfying them, note the following linear recurrence relations:

$$\begin{pmatrix} s_{i+1} & t_{i+1} \\ u_{i+1} & v_{i+1} \end{pmatrix} = \begin{pmatrix} p_i & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} u_{i+1} & v_{i+1} \\ s_i & t_i \end{pmatrix},$$

$$\begin{pmatrix} u_{i+1} & v_{i+1} \\ s_i & t_i \end{pmatrix} = \begin{pmatrix} q_i & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} s_i & t_i \\ u_i & v_i \end{pmatrix}.$$

Note that the determinants of the coefficient matrices are equal to -1. Since the determinant of $\begin{pmatrix} s_0 & t_0 \\ u_0 & v_0 \end{pmatrix}$ is 1, it follows that we always have

$$s_i v_i - t_i u_i = 1, \quad u_{i+1} t_i - v_{i+1} s_i = -1.$$

This shows that $\gcd(s_i, t_i) = 1$ and $\gcd(u_i, v_i) = 1$ for all i. Now, note that for some i_0 we should have $a_{i_0} = d$ and $b_{i_0} = d$. Hence,

$$as_{i_0} - bt_{i_0} = bv_{i_0} - au_{i_0} = d,$$

so

$$a(s_{i_0} + u_{i_0}) = b(t_{i_0} + v_{i_0}).$$

This implies $\frac{b}{d}$ divides $s_{i_0} + u_{i_0}$ and $\frac{a}{d}$ divides $t_{i_0} + v_{i_0}$, so we can write

$$s_{i_0} + u_{i_0} = \frac{kb}{d}, \quad t_{i_0} + v_{i_0} = \frac{ka}{d}$$

for some nonnegative integer k. Note that

$$s_{i_0}(t_{i_0} + v_{i_0}) - t_{i_0}(s_{i_0} + u_{i_0}) = s_{i_0} v_{i_0} - t_{i_0} u_{i_0} = 1,$$

thus $s_{i_0} + u_{i_0}$ and $t_{i_0} + v_{i_0}$ are coprime to each other. This enforces $k = 1$, thus

$$s_{i_0} + u_{i_0} = \frac{b}{d}, \quad t_{i_0} + v_{i_0} = \frac{a}{d}.$$

In particular, we conclude

$$s_i, u_i \leq \frac{b}{d}, \quad t_i, v_i \leq \frac{a}{d}$$

for all i, since s_i, t_i, u_i, v_i are all increasing. In fact, the inequalities are strict except for very exceptional cases. Indeed, if $s_i = \frac{b}{d}$ for some i, then the same equality holds for all bigger i's, so $u_i = 0$ for all such i's, which then implies $u_i = 0$ for all i. Therefore, in this case we must have $bv_i = b_i$ for all i, thus $b = b_i = d$ for all i. On the other hand, if $t_i = \frac{a}{d}$ for some i, then similarly we conclude $v_i = 0$ for all i, but then $-au_i = b_i$, which is impossible so this never happens. In the same way, we cannot have $u_i = \frac{b}{d}$ and we can have $v_i = \frac{a}{d}$ only when $a = a_i = d$ for all i.

This indeed implies the third fact: for any integers s, t satisfying

$$as - bt = a_i,$$

we have

$$a(s_i - s) - b(t_i - t) = 0,$$

which implies that $\frac{b}{d}$ divides $s_i - s$ and $\frac{a}{d}$ divides $t_i - t$. Hence, if s is strictly smaller than s_i, then s_i shuold be at least $s + \frac{d}{b}$. Hence, s should be a nonnegative number, but clearly s cannot be 0 because otherwise we have $-bt = a_i$ which is of course impossible. Similar reasoning shows that t_i, u_i, v_i are the smallest nonnegative integers as well.

Using these facts, let us analyze the algorithm given in Figure 11 more precisely.

Theorem C.1 (Min-max Euclid algorithm).
Let g be a positive integer.

1. *If $g < u_i + (k+1)s_i$ for some nonnegative integers i and $0 \leq k < q_i$, then*

$$(ag \bmod b) \leq b - (b_i - ka_i).$$

   The equality is achieved if and only if $g = u_i + ks_i$.
2. *If $g < s_i + (k+1)u_{i+1}$ for some nonnegative integers i and $0 \leq k < p_i$, then*

$$(ag \bmod b) \geq a_i - kb_{i+1}.$$

   The equality is achieved if and only if $g = s_i + ku_{i+1}$.

Proof. We use induction on i. Consider the base case $i = 0$ first. Note that $u_0 + ks_0 = k$, so $g < u_0 + (k+1)s_0$ implies

$g \le k$. Hence, when $k = 0$, the first part of the theorem is vacuously true. When $k > 0$, $k < q_0 = \lceil \frac{b}{a} \rceil - 1$ implies

$$(ag \bmod b) = ag = b - (b_0 - ga_0),$$

thus we have the first part. For the second part, note that we may assume $a < b$ since otherwise $u_1 = q_0 s_0 = 0$ so there is no g satisfying the condition. Also, without loss of generality we can assume $g \ge s_0 + ku_1$ by separately considering the ranges $[s_0 + k'u_1, s_0 + (k'+1)u_1)$ for $k' = 0, \cdots, k$. Then the condition on g can be written as

$$1 + kq_0 \le g \le (k+1)q_0.$$

Hence, using $b = q_0 a + b_1$, it follows that

$$k + \frac{a - kb_1}{b} \le \frac{ag}{b} \le k + 1 - \frac{(k+1)b_1}{b}.$$

From the condition $0 \le k < p_1$, we have $0 < a_1 < a - kb_1 \le a < b$ and $0 < (k+1)b_1 < a < b$, so both of the sides are real numbers in the interval $[k, k+1)$. Therefore, it follows that

$$\left\lfloor \frac{ag}{b} \right\rfloor = k.$$

Hence,

$$\begin{aligned}
(ag \bmod b) &= ag - kb \\
&\ge b\left(k + \frac{a - kb_1}{b}\right) - kb \\
&= a - kb_1,
\end{aligned}$$

and of course the equality is achieved if and only if $g = 1 + kq_0$, so the second claim is also proved.

Next, let us consier the induction step; let $i > 0$ and suppose that the conclusion of the theorem is true for all $j < i$. For the first part of the theorem, again we can assume that g satisfies

$$u_i + ks_i \le g < u_i + (k+1)s_i$$

for some $0 \le k < q_i$. Define

$$s := (u_i + (k+1)s_i) - g,$$

then we know $0 < s \le s_i$. Note that this implies that either $s = s_i$ or

$$s_j + lu_{j+1} \le s < s_j + (l+1)u_{j+1}$$

for some $j < i$ and $0 \le l < p_j$. Indeed, if $s < s_i$, then since s_j's increase to s_i, we can choose s_j such that $s_j \le s < s_{j+1}$. Then, since $s_{j+1} = s_j + p_j u_{j+1}$, choose $l = \left\lfloor \frac{s - s_j}{u_{j+1}} \right\rfloor$ then we have the desired inequality.

Note that if $s = s_i$, then from Fact 3 we know

$$(as \bmod b) = a_i,$$

and otherwise, by the induction hypothesis we have

$$(as \bmod b) \ge a_j - lb_{j+1} > a_{j+1} \ge a_i.$$

Hence, let $t := \left\lfloor \frac{as}{b} \right\rfloor$, then

$$as - bt = (as \bmod b) \ge a_i.$$

Since

$$as_i - bt_i = a_i, \quad bv_i - au_i = b_i,$$

we have

$$b(v_i + (k+1)t_i) - a(u_i + (k+1)s_i) = b_i - (k+1)a_i,$$

thus it follows that

$$b(v_i + (k+1)t_i - t) - ag \ge b_i - ka_i. \tag{8}$$

Since $k < q_i$, the right-hand side is in the interval $(0, b]$. We claim that the left-hand side is not more than b, so that

$$0 \le ag - b(v_i + (k+1)t_i - 1) \le b - (b_i - ka_i) < b,$$

concluding

$$(ag \bmod b) \le b - (b_i - ka_i).$$

Note that the inequality (8) is an equality if and only if

$$as - bt = a_{i+1}$$

if and only if $s = s_i$. Hence, to show the claim we can assume $s < s_i$, so

$$s_j + lu_{j+1} \le s < s_j + (l+1)u_{j+1}$$

for some $j < i$ and $0 \le l < p_j$. Define

$$u := (s_j + (l+1)u_{j+1}) - s,$$

then we know $0 < u \le u_{j+1}$. Since $j < i$, the induction hypothesis (with $k = 0$) implies that

$$(au \bmod b) \ge a_j.$$

Define $v := \left\lfloor \frac{au}{b} \right\rfloor + 1$, then

$$a_j \le au - b(v - 1) < b,$$

so

$$0 < bv - au \le b - a_j.$$

Since

$$as_j - bt_j = a_j, \quad bv_{j+1} - au_{j+1} = b_{j+1},$$

we have

$$\begin{aligned}
a(s_j + (l+1)u_{j+1}) &- b(t_j + (l+1)v_{j+1}) \\
&= a_j - (l+1)b_{j+1},
\end{aligned}$$

thus it follows that

$$as - b(t_j + (l+1)v_{j+1} - v) \le b - (l+1)b_{j+1}.$$

Since the left-hand side is a positive number (because it is the sum of two positive numbers), it follows that $t = t_j + (l+1)v_{j+1} - v$ and

$$as - bt \le b - (l+1)b_{j+1} \le b - (l+1)b_i \le b - b_i.$$

Consequently,

$$
\begin{aligned}
b(v_i + (k+1)t_i - t) - ag \\
= (b_i - (k+1)a_i) + (as - bt) \\
\le b - (k+1)a_i < b,
\end{aligned}
$$

so the claim is proved. Also, we have

$$(ag \bmod b) = b - (b_i - ka_i)$$

if and only if $s = s_i$ if and only if $g = u_i + ks_i$, so the first part of the induction step is proved.

Now, we show the second part. This part is in fact almost identical to the previous part. Again we can assume that g satisfies

$$s_i + ku_{i+1} \le g < s_i + (k+1)u_{i+1}$$

for some $0 \le k < p_i$. Define

$$u := (s_i + (k+1)u_{i+1}) - g,$$

then we know $0 < u \le u_{i+1}$. Note that this implies either $u = u_{i+1}$ or

$$u_j + ls_j \le u < u_j + (l+1)s_j$$

for some $j \le i$ and $0 \le l < q_j$. If $u = u_{i+1}$, then from Fact 3 we know

$$(au \bmod b) = b - b_{i+1},$$

and otherwise, by the induction hypothesis and the first part of the induction step, we have

$$(au \bmod b) \le b - (b_j - la_j) < b - b_{j+1} \le b - b_{i+1}.$$

Hence, let $v := \left\lfloor \frac{au}{b} \right\rfloor + 1$, then

$$bv - au = b - (au \bmod b) \ge b_{i+1}.$$

Since

$$as_i - bt_i = a_i, \quad bv_{i+1} - au_{i+1} = b_{i+1},$$

we have

$$
\begin{aligned}
a(s_i + (k+1)u_{i+1}) - b(t_i + (k+1)v_{i+1}) \\
= a_i - (k+1)b_{i+1},
\end{aligned}
$$

thus it follows that

$$ag - b(t_i + (k+1)v_{i+1} - v) \ge a_i - kb_{i+1}. \qquad (9)$$

Since $k < p_i$, the right-hand side is in the interval $(0, b]$. We claim that the left-hand side is not more than b, so that

$$(ag \bmod b) \ge a_i - kb_{i+1}.$$

Note that the inequality (9) is an equality if and only if

$$bv - au = b_{i+1}$$

if and only if $u = u_{i+1}$. Hence, to show the claim we can assume $u < u_{i+1}$, so

$$u_j + ls_j \le u < u_j + (l+1)s_j$$

for some $j \le i$ and $0 \le l < q_j$. Define

$$s := (u_j + (l+1)s_j) - u,$$

then we know $0 < s \le s_j$. Since $j \le i$, the induction hypothesis together with the first part of the induction step (with $k = 0$) implies that

$$(as \bmod b) \le b - b_j.$$

Define $t := \left\lfloor \frac{as}{b} \right\rfloor$, then

$$0 \le as - bt < b - b_j.$$

Since

$$as_j - bt_j = a_j, \quad bv_j - au_j = b_j,$$

we have

$$b(v_j + (l+1)t_j) - a(u_j + (l+1)s_j) = b_j - (l+1)a_j,$$

thus it follows that

$$b(v_j + (l+1)t_j - t) - as \le b - (l+1)a_j.$$

Since the left-hand side is a positive number (because it is the sum of a positive number and a nonnegative number), it follows that $v = v_j + (l+1)t_j - t$ and

$$bv - au \le b - (l+1)a_j \le b - (l+1)a_i \le b - a_i.$$

Consequently,

$$
\begin{aligned}
ag - b(t_i + (k+1)v_{i+1} - v) \\
= (a_i - (k+1)b_{i+1}) + (bv - au) \\
\le b - (k+1)b_{i+1} < b,
\end{aligned}
$$

so the claim is proved. Also, we have

$$(ag \bmod b) = a_i - kb_{i+1}$$

if and only if $u = u_{i+1}$ if and only if $g = s_i + ku_{i+1}$, so the second part of the induction step is also proved. $\qquad \square$

By the theorem, we get the following strategy for finding the minimum and the maximum of $(ag \bmod b)$:

1. Find the minimum i such that $N < s_{i+1}$ or $N < u_{i+1}$.

2. If $N < u_{i+1}$, then find $0 \leq k < q_i$ such that

$$u_i + ks_i \leq N < u_i + (k+1)s_i.$$

Since $s_i \leq N < u_{i+1}$, we conclude from Theorem C.1 that the minimum is a_i (achieved when $g = s_i$) and the maximum is $b - (b_i - ka_i)$ (achieved when $g = u_i + ks_i$).

3. If $u_{i+1} \leq N < s_{i+1}$, then find $0 \leq k < p_i$ such that

$$s_i + ku_{i+1} \leq N < s_i + (k+1)u_{i+1}.$$

Since $u_{i+1} \leq N < s_{i+1}$, we conclude from Theorem C.1 that the minimum is $a_i - kb_{i+1}$ (achieved when $g = s_i + ku_{i+1}$) and the maximum is $b - b_{i+1}$ (achieved when $g = u_{i+1}$).

4. For the case when there is no such i, let i_0 be such that $a_{i_0} = b_{i_0} = \gcd(a, b)$. Then since $N \geq u_{i_0}$, the maximum should be equal to $b - \gcd(a, b)$, which is the maximum possible value of all numbers of the form $(ag \bmod b)$. Next, check if $N < s_{i_0} + u_{i_0} = \frac{b}{\gcd(a,b)}$. Note that $g = \frac{b}{\gcd(a,b)}$ is the smallest positive number such that $(ag \bmod b) = 0$, thus if $N < s_{i_0} + u_{i_0}$, then we can infer that the minimum cannot be 0. However, since $N \geq s_{i_0}$, the minimum should be equal to $\gcd(a, b)$. Of course, if $N \geq s_{i_0} + u_{i_0}$, then the minimum is equal to 0.

Then now it is easy to see that Figure 11 is indeed an implementation of this strategy.

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